
Minutes of the Administrative Hearing on February 16, 2016

OPENING ITEMS

1. Call to Order.

Hearing Officer Nathan Hochman called the meeting to order at 11:34 a.m.

Present: Hearing Officer Nathan Hochman
Vice President Serena Oberstein
Commissioner Ana Dahan
Commissioner Melinda Murray

Absent: President Jessica Levinson

ACTION ITEMS

2. **Administrative hearing in Case No. 2014-03, *In the Matter of Kelly M. Lord and Lord for City Council District 12 2011* (alleged failures to comply with City contribution limits, disclosure requirements, and recordkeeping laws).**

Enforcement Director Sergio Perez and Kelly M. Lord (Lord) presented evidence and arguments regarding the following allegations against Lord and his campaign committee, Lord for City Council District 12 2011 (the committee):

- Count 1: Lord and the committee violated Los Angeles City Charter § 470(c)(3) on or about February 2011 by causing an excess contribution to be made to and accepted by the committee, resulting from a failure to pay \$4,700 in consideration for website services rendered to the committee between December 2010 and February 2011.
- Count 2: Lord and the committee violated Los Angeles Municipal Code § 49.7.11 (renumbered § 49.7.14 in 2012) by failing to submit the pre-election campaign disclosure statement that was due on March 4, 2011, for Lord's 2011 primary election campaign.
- Count 3: Lord and the committee violated Los Angeles City Charter § 470(i) by failing to maintain records supporting the transfer of \$265 in campaign funds from the committee's bank account to Lord's personal checking account on April 15, 2011, and the payment of \$110 to Equity Trust Realty, via check number 154, on May 3, 2011.

Hochman, Oberstein, Dahan, and Murray each certified that they had reviewed all the evidence in the record, including exhibits and declarations, and the evidence presented at the hearing.

Hochman, Oberstein, Dahan, and Murray unanimously found that a preponderance of the evidence supported a determination that Lord and the committee violated City law as alleged in Counts 1, 2, and 3.

Action: Dahan moved to order a penalty of \$8,400 for Count 1, a penalty of \$2,500 for County 2, and a penalty of \$5,000 for Count 3, and Oberstein seconded. The order was approved 4-0.

Hochman directed Perez to prepare, for the commission's consideration, a written order consistent with the verbal order.

CLOSING ITEM

3. Adjournment.

Hochman adjourned the hearing at 1:48 p.m.

A complete recording of this administrative hearing is on file as a public record and may be accessed at ethics.lacity.org.