Item 7
Information

Introduction to the Campaign Finance Review

Executive Summary: This item contains an overview of the City’s campaign finances laws and the issues to be considered during the 2018 review.

Recommended Action: This item is for informational purposes only.

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Presenter: Arman David Tarzi, Director of Policy
          Manuel Montilla, Campaign Finance Program Manager
Overview of Campaign Finance Laws

A. Introduction

The Los Angeles City Charter (Charter) requires the Ethics Commission to periodically evaluate and make recommendations concerning the effectiveness of the laws within its jurisdiction. Charter § 702(f). This item begins a discussion about potential updates to the Campaign Finance Ordinance (CFO) by providing an overview of the current laws regarding contributions, disclosure, and the matching funds program. A PowerPoint presentation for this item is provided in Attachment A.

B. History

The City’s current campaign finance laws date back to 1984, when the voters amended the Charter to limit contributions to candidates for City office. In 1990, the voters then adopted Charter Amendment H, to create the Ethics Commission and establish a framework of governmental ethics laws. In 1991, the CFO was first adopted to complement the Charter’s campaign finance provisions. Since then, several changes to the campaign finances laws have been made, through both ballot measures and ordinances.

For example, in 1997 and 2003, the City Council passed ordinances increasing the expenditure ceilings for candidates participating in the matching funds program. In 2007, a ballot measure prohibiting City lobbyists and lobbying firms from making contributions to certain City candidates and officeholders was placed on the ballot by the City Council and approved by the voters. The same ballot measure also enacted contribution limits in Los Angeles Unified School District Board of Education (LAUSD) elections and made the Ethics Commission responsible for administering and enforcing the campaign finance laws in LAUSD elections. In 2011, voters passed Measure H, which prohibits contributions from and limits fundraising by bidders on certain City contracts (and their subcontractors and principals) and was placed on the ballot by the City Council.

In 2012, the Ethics Commission comprehensively reviewed the CFO, and amendments approved as a result of that review were adopted by the City Council. Most recently, updates to the matching funds program took effect with the 2015 election cycle.

C. 2018 Review

1. Justification

Several factors have led to a review at this time. First, the voters recently adopted a change to the City’s election cycle. Instead of occurring in odd-numbered years, City elections will now occur in even-numbered years, with the first elections to be held in 2020. Several
campaign disclosure requirements currently exist in the context of the old election cycle and must be updated to reflect the new election schedule.

It is also important to review data from the 2015 and 2017 elections, the first complete election cycle to fully incorporate the current requirements of the matching funds program. In addition, changes in state law may necessitate modifications to our laws.

Finally, several City Council motions were introduced last year, regarding contributions, disclosure, and the matching funds program. Copies of the motions are provided in Attachment B. If the City Council decides to consider one or more of these motions, it is important for us to be prepared to participate in the discussion and to articulate the Ethics Commission’s perspective on the different issues.

2. Process

As with all of our policy reviews, the process for this review will involve a combination of analyzing data, assessing public input, evaluating experiences with existing City laws, examining laws in other jurisdictions, and engaging in discussions at Ethics Commission meetings.

The process has been underway since last month, when staff began compiling and analyzing data from the 2015 and 2017 elections. Comments from the public and regulated communities are extremely valuable and have already been solicited via e-mail and website postings. Public input will continue to be solicited throughout the review process, including at an interested persons meeting on March 14, 2018.

Ideally, the review will be completed and any recommendations finalized in time for the City Council to take action prior to the opening of the 2020 fundraising window this fall.

D. Current Law

A number of laws affect campaign financing in City and LAUSD elections. The key provisions are Charter sections 470, 471, and 803; the CFO (Los Angeles Municipal Code (LAMC) §§ 49.7.1 et seq.); the matching funds regulations (Los Angeles Administrative Code (LAAC) §§ 24.31 et seq.); and the California Political Reform Act (Cal. Gov’t Code §§ 81000 et seq.).

The following sections discuss details about the provisions of those laws that affect contributions, the matching funds program, and disclosure.

1. Contributions

A contribution is anything of value given to a candidate or campaign committee without full and adequate consideration provided in return. Cal. Gov’t Code § 82015. Typically, contributions are monetary payments and include loans and a candidate’s personal funds. However, contributions may be non-monetary, such as goods or services.
Federal, state, and City laws limit and prohibit certain types of contributions. These restrictions are designed to promote public trust in both government and the electoral process. By limiting the amount of money that a person may contribute to a candidate, these laws help to avoid the actual or apparent corruption that may result when a special interest heavily finances a candidate’s bid for elected office. City law also restricts contributions to encourage broader participation in the political process and to protect the integrity of the City’s procurement processes. See Charter §§ 470(a), 803.

a. Limits

The Charter imposes limits on contributions to all City and LAUSD candidates and their campaign committees. Charter §§ 470(c)(3), 470(c)(4), 803(b)(3). The contribution limits are intended to encourage a broader participation in the political process by limiting the amount of money that any one person may infuse into a candidate’s campaign. Charter § 470(a).

The contribution limits are adjusted annually based on changes to the Consumer Price Index (CPI). Charter §§ 470(f), 803(c); LAMC § 49.7.3. The contribution limit to a single City Council candidate in a 2017 election was $700 per person, and the contribution limit to a single Citywide candidate in a 2017 election was $1,400 per person. LAMC §§ 49.7.3(B)(2)(a), 49.7.3(B)(2)(b). The contribution limit to a single LAUSD candidate in a 2017 election was $1,100 per person. See Charter §§ 803(b)(3), (c). A primary election and a general election are treated as separate elections, which means that a person can give the maximum to a candidate in the primary election and give the maximum again to the same candidate in the general election.

Under certain circumstances, contributions from two or more persons must be aggregated and treated as having been made by a single person. See Charter § 803(n); LAMC § 49.7.4. This is required when one person exerts a certain level of control over another person’s contribution activity and is designed to prevent circumvention of the contribution limits. Attachment C identifies when contributions must be aggregated.

In addition to the per-person contribution limits, there are also limits on the total amount of contributions that a City candidate or campaign committee may accept from persons who are not individuals. Charter §§ 470(c)(7). Attachment D identifies all of the current contribution limits. It is a publication that is updated every March to reflect CPI changes over the last year.

The contribution limits affect only funds given directly to candidates. A person who wants to show additional support for or opposition to a candidate may spend unlimited amounts on independent expenditures (see Section D.3.c below, on page 9).

b. Prohibitions

Some contributions are prohibited entirely. For example, the Charter prohibits contributions from two sets of persons who are actively attempting to influence City action.

The first set is City lobbyists and lobbying firms. They may not make a contribution to a City candidate or officeholder if they are required to register to lobby the office the candidate or
officeholder seeks or holds. Charter § 470(c)(11). Similarly, a City candidate or officeholder may not solicit or accept a contribution from a lobbyist or lobbying firm that is required to register to lobby the office the candidate or officeholder seeks or holds. Id. The prohibition does not apply to contributions from a lobbyist employer or a lobbying client. In addition, the prohibition does not limit the ability of a lobbyist, lobbying firm, lobbyist employer, or lobbying client to engage in fundraising for a City candidate or officeholder.

The second set is certain City bidders, contractors, subcontractors, and principals. Bidders are prohibited from making contributions to and engaging in prohibited fundraising activity for certain City candidates and officeholders if they bid on a City contract that has an anticipated value of at least $100,000 and must be approved by the office of an elected official. Charter § 470(c)(12); LAMC §§ 49.7.35, 49.7.36. The prohibition extends to the bidder’s principals, subcontractors of $100,000 or more, and the principals of those subcontractors. Id. The prohibition begins the day a bid is submitted and ends either the date the contract is signed or, for successful bidders, one year after the contract is signed. Id. The restriction does not prohibit City candidates and officeholders from soliciting or accepting contributions from these persons.

Federal law prohibits contributions from foreign nationals. A foreign national is a person who is not a citizen of the United States and does not have legal permanent residency status, including a foreign government, corporation, or organization. Domestic subsidiaries of foreign and United States corporations owned by foreign nationals may also be prohibited from making contributions under certain circumstances. See 2 U.S.C. § 441e; 11 CFR §§ 110.4(a), 110.9(a).

Finally, the Charter prohibits contributions that are made under assumed names (names other than the true source of the contribution). Charter §§ 470(k), 803(j). Assumed-name contributions, commonly referred to as political money laundering, are a way of circumventing the per-person contribution limits.

c. **Fundraising Windows**

To limit fundraising activity in non-election years, the Charter and the CFO regulate when candidates and their campaign committees may solicit and receive contributions. City Council candidates and LAUSD candidates may not engage in fundraising more than 18 months before a primary election. Charter § 803(q); LAMC § 49.7.10(A)(1). Candidates for Mayor, City Attorney, and Controller may not engage in fundraising more than 24 months before a primary election. LAMC § 49.7.10(A)(2).

LAUSD candidates may not engage in fundraising more than nine months after an election, and post-election fundraising may occur only to retire campaign debt for that election. Charter §803(q). City candidates may not engage in fundraising more than 12 months after the general election. LAMC § 49.7.10(C). In addition, contributions may be solicited and received after an election only to retire campaign debt for that election and, for City candidates, to pay compliance, fundraising, or inauguration expenses for that election. Id.
2. Matching Funds

In 1990, City voters created a voluntary program to provide limited public funding to the campaigns of qualified City candidates (the program does not extend to LAUSD candidates). The goals of the matching funds program include helping candidates raise enough money to communicate their views without requiring excessive fundraising, limit campaign spending, increase the value of smaller contributions, make elections more competitive, and help avoid the appearance of corruption that can occur when financial ties exist between elected officials and special interests. Charter § 471(a)(2).

The City is required to make a minimum appropriation to the Matching Campaign Funds Trust Fund each year, unless a fiscal emergency is declared. Charter § 471(c). That trust fund is used to make matching funds payments to qualified candidates. The appropriation changes each year, based on CPI, and the total appropriation this year is $3,220,424. The balance of the trust fund is currently over $14,650,000 and will be approximately $16,000,000 at the end of this fiscal year.

Significant changes were made to the matching funds program both before and after the 2013 elections, and some changes did not take effect until the 2015 election cycle. See Council File Nos. 12-1269, 12-1269-S2. Details regarding the current program are outlined below.

a. Qualification

All City candidates must declare whether they agree to participate in the matching funds program by filing Form 20 (Acceptance or Rejection of Matching Funds). A candidate who agrees to participate in the program must meet certain criteria in order to actually receive public funds. Detailed qualification criteria for each type of candidate are provided in Attachment E, which is an excerpt from the 2017 City Candidate Guide.

For example, candidates must be qualified to appear on the ballot, be opposed by at least one person who also qualifies to appear on the ballot, attend an Ethics Commission training, agree to participate in debates, receive over 200 qualifying contributions of five dollars or more from individuals who reside within their districts, and limit their personal spending. LAMC § 49.7.23(C)(2)-(11).

Two other qualification criteria also apply. First, candidates must receive threshold amounts of qualifying contributions from individuals who reside within the City. LAMC § 49.7.23(C)(1)(a). Candidates may accept up to the allowable contribution limit from every contributor, but only the first $250 per contributor (for City Council candidates) or $500 per contributor (for Citywide candidates) counts toward the qualifying threshold. LAMC § 49.7.23(C)(1)(a). The qualifying thresholds depend on the office sought:

- $25,000 for City Council candidates;
- $75,000 for Controller or City Attorney candidates; and
- $150,000 for Mayoral candidates.
Second, candidates must limit their overall campaign spending to specific amounts that vary based on the office sought and the type of election. LAMC § 49.7.24(A).

<table>
<thead>
<tr>
<th>2017 EXPENDITURE CEILINGS</th>
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<tbody>
<tr>
<td><strong>Office</strong></td>
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<tr>
<td>City Council</td>
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<td>Mayor</td>
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</tbody>
</table>

An expenditure ceiling is lifted if either of the following occurs: a candidate in the race is not participating in the matching funds program and spends in excess of the ceiling; or independent expenditures in the race exceed certain cumulative totals. LAMC § 49.7.25. In the 2017 elections, the expenditure ceilings were lifted if independent expenditures totaled $80,000 in a City Council race, $161,000 in the City Attorney and Controller races, or $321,000 in the Mayoral race. The expenditure ceilings and the cumulative totals for independent expenditures that lift the ceilings are adjusted annually for CPI. LAMC §§ 49.7.3(A)(11)–(12).

b. **Claims**

Once a candidate qualifies, public funding may be provided to match all or part of each qualified contribution that the candidates receives. LAMC § 49.7.27(A). A qualified contribution is a lawful monetary contribution that is made by an individual who resides within the City (other than the candidate or a member of the candidate’s immediate family), within the fundraising window, and after the candidate files a Form 12 (Declaration of Intent to Solicit and Receive Contributions). LAMC § 49.7.2(T). Qualified contributions do not include loans, pledges, in-kind contributions, or contributions from non-individuals. *Id.*

To receive matching funds, a candidate must submit Form 22 (Matching Funds Request for Qualification or Claim for Payment). Each qualified contribution must be identified on the form, and supporting documentation for the contribution must be provided. Supporting documentation includes a copy of the check or electronic payment and the contributor’s certification that the contributor has provided accurate personal information, including a residence address. LAAC § 24.34(a)(7)(B)(ii). The candidate and treasurer must also certify that the information in the claim is true and complete and that all contributions in the claim are from City residents. LAAC § 24.34(a)(9). A copy of Form 22 is provided in Attachment F.

The Ethics Commission staff is required to review each claim for matching funds and determine the amount of public funds that should be paid to the candidate. LAAC § 24.34(d)(1). Once a candidate has submitted a claim for matching funds and has provided all necessary information and documentation, this review must be completed within four business days. LAAC § 24.34(d)(1)(C). The Controller’s office must then issue payment within two business days. LAAC § 24.34(e)(3).
c. **Maximum Funding**

As noted in the table below, the maximum amount of funding available to a candidate is based on the office sought and the type of election. LAMC § 49.7.29.

<table>
<thead>
<tr>
<th>Office</th>
<th>Primary Election</th>
<th>General Election</th>
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</thead>
<tbody>
<tr>
<td>City Council</td>
<td>$100,000</td>
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</tr>
<tr>
<td>Mayor</td>
<td>$667,000</td>
<td>$800,000</td>
</tr>
</tbody>
</table>

As in the qualification process, candidates may accept up to the maximum allowable contribution from each contributor, but the amounts that may be matched are limited. Up to $250 per qualified contributor may be matched for City Council candidates, and up to $500 per qualified contributor may be matched for Citywide candidates. LAMC § 49.7.27(A).

d. **Match Rates**

There are two possible match rates for qualified candidates, depending on the number of valid signatures gathered during the nominating process. The first match rate applies to candidates who qualify for the ballot by obtaining 500 valid nominating signatures. They are entitled to receive matching funds payments at the rate of one public dollar for every qualifying private dollar received through fundraising. LAMC § 49.7.27(B)(1).

The second match rate applies to candidates who obtain 1,000 valid signatures in either of the following ways:

- 1,000 valid signatures on nominating petitions
- 500 valid signatures on nominating petitions plus 500 valid signatures on Form 21 (Matching Funds Additional Signatures).

LAMC § 49.7.27(C). Instead of a one-to-one match, these candidates are entitled to receive matching funds payments at a rate of two public dollars for every qualifying private dollar in the primary election and at a rate of four public dollars for every qualifying private dollar in the general election. LAMC § 49.7.27(B)(2). Attachment G is a matching funds flow chart that depicts the different rates of match.

All qualified candidates who move on to a general election receive an initial one-fifth grant of the maximum funding available to them in that election. LAMC § 49.7.27(B)(3). The remaining fourth-fifths is provided on a matching basis, at the applicable rate of match. *Id.*
3. Disclosure

To promote transparency and help the public understand who is promoting specific candidates and viewpoints, several types of disclosure are required of candidates, committees, and others who participate in City and LAUSD elections.

a. Contributions and Expenditures

State and City laws require candidates and their campaign committees to periodically file statements disclosing information about their contributors, contributions, and expenditures. This helps the public understand who supports the candidate and how the campaign committee is funded. The disclosure statement required by state law is CA Form 460 (Recipient Committee Campaign Statement [Long Form]), and a copy is provided in Attachment H.

On CA Form 460, candidates must disclose monetary and non-monetary contributions, loans, expenditures, and cash on hand. Cal. Gov’t Code § 84211. Candidates must identify each contributor of $100 or more by name and must disclose the contributor’s address, occupation, and employer. Cal. Gov’t Code § 84211(f). In addition, candidates must assign a code to each itemized contributor, indicating whether the contributor is an individual, a registered committee, a political party, a small contributor committee, or “other”. See Attachment H, Schedule A. Similar information must be disclosed for each person to whom a candidate makes an expenditure of $100 or more. Cal. Gov’t Code § 84211(k); see Attachment H, Schedule E.

Disclosure statements must be filed on schedules dictated by state and City laws. City and LAUSD candidates must file disclosure statements at least quarterly or semi-annually. See Cal. Gov’t Code §§ 84200–8422.7; Charter § 803(r)(1); LAMC § 49.7.14. However, in the months leading up to an election, disclosure statements must be filed more frequently, to provide more timely information to voters. Filings are currently due five times in the five months prior to a City or LAUSD primary election, by the following dates:

- October 10
- January 10
- 40 days before the election
- 12 days before the election
- Friday before the election

b. Campaign Communications

In addition to disclosing financial activity, candidates and their campaign committees must also promote transparency for each campaign communication that is distributed or broadcast to 200 or more persons.

First, the communication, itself, must include a disclaimer. For City candidates, the disclaimer must identify the name, address, and city of the candidate or committee, along with the statement, “Additional information is available at ethics.lacity.org.” LAMC § 49.7.33(A).
Paper and electronic mailings by LAUSD candidates must include a disclaimer that identifies the name, address, and city of the candidate or committee. Cal. Gov’t Code § 84305(a)(1). Telephone calls by LAUSD candidates must include a disclaimer that identifies the candidate’s name. Cal. Gov’t Code § 84310(a).

A copy of the communication must also be filed with the Ethics Commission, in the format in which it was distributed. Charter § 803(r)(3); LAMC § 49.7.32(A). Scripts must also be filed for audio, video, and telephone calls. LAMC §§ 49.7.32(C)–(D). Usually, City candidates must file their communications within five business days after they are first distributed. LAMC § 49.7.32(B)(2). However, in the months immediately preceding an election, they must file their communications within 24 hours. LAMC § 49.7.32(B)(1). LAUSD candidates must file their written communications at the time they are distributed and their audio communications within 24 hours after they are first made or aired. Charter §§ 803(r)(3), (s)(5).

c. Independent Expenditures

In the same way that candidates must promote transparency about their political communications, others who communicate in a City election must also do so. An independent expenditure communication is one that urges a particular result in an election and is not coordinated with or paid for by a candidate. LAMC § 49.7.2(L).

In a City election, an independent expenditure communication must include a disclaimer if the person making the communication meets any of the following thresholds:

- Makes or incurs expenditures of $1,000 or more;
- Makes or incurs expenditures of $100 or more, is not a committee, and distributes to 1,000 or more people; or
- Makes or incurs expenditures of $100 or more, is a committee, and distributes to 200 or more people.

LAMC § 49.7.31(A). The disclaimer must identify the name, address, and city of the person making the communication and, if the person is a committee, the names of the committee’s top two contributors of $1,000 or more in the six months prior to payment for the communication. LAMC § 49.7.33(B). The disclaimer must state that the communication was not authorized or coordinated by a City candidate or controlled committee, and it must include the statement, “Additional information is available at ethics.lacity.org. Id.

In an LAUSD election, a disclaimer is required if the person making the communication makes or incurs expenditures of $1,000 or more. Charter § 803(s)(1). The disclaimer requirements are governed by state law and vary depending on the type of person or committee who is making the communication. See, e.g., Cal Gov’t Code §§ 84502, 84503, 84504.1–84504.5, 84506, 84506.5.

If an independent expenditure communication must include a disclaimer, a copy of the communication must be filed with the Ethics Commission. Also required is a report that details information about the communication, the independent spender and, if the independent spender
is a committee, its contributors. Charter § 803(s)(2); LAMC § 49.7.31. In City elections, Form 57 (Independent Expenditure Communication Notification) must be filed within 24 hours after making or incurring expenses (or five business days if outside an election cycle). LAMC § 49.7.31(B). In LAUSD elections, Form 57-L (Independent Expenditure Communication Notification [LAUSD]) must be filed within 24 hours of making or incurring expenses. Charter § 803(s)(1). A copy of Form 57 is provided in Attachment I.

E. Conclusion

We look forward to this review of the campaign finance laws. Our goal is to ensure that the laws remain as strong, clear, and equitable as possible. We hope to present recommendations at the April 2018 meeting and look forward to our discussions.

Attachments:
A  PowerPoint presentation
B  City Council motions (Council File Nos. 15-1088-S1, 17-1048, 17-1058, 17-0059)
C  Aggregation
D  2017 Regular City Election Limits
E  Matching funds qualification criteria
F  Form 22 (Matching Funds Request for Qualification or Claim for Payment)
G  Matching funds match rate flow chart
H  CA Form 460 (Recipient Committee Campaign Statement [Long Form])
I  Form 57 (Independent Expenditure Communication Notification)
ROAD MAP

History

This Review: Justification
Process

Current Law: Contributions
Matching Funds
Disclosure

Next Step: Interested Persons Meeting
1985: City contribution limits enacted
1991: Charter provisions and CFO adopted
2001: Term limits effective
2007: Lobbying contributions prohibited
2007: LAUSD contribution limits enacted
2011: Contracting contributions prohibited
2012: CFO overhauled
2014: Matching funds program modified (effective as of 2015 elections).


**THIS REVIEW**

**Justification**
- New election cycle
- New data
- Legal changes
- Motions

**Process**
- Data
- Public input
- Experiences
- Other jurisdictions
- Recommendations
- Discussions
City Charter (Charter §§ 470, 471, 803)

Campaign Finance Ordinance (LAMC §§ 49.7.1 et seq.)

Matching Funds Regulations (LAAC §§ 24.31 et seq.)

California Political Reform Act (Cal. Gov’t Code §§ 81000 et seq. and related regulations)
CURRENT LAW

CONTRIBUTIONS

Goals

Promote public trust
Encourage broader participation
Avoid actual and apparent corruption
Limit financial influence of private parties
Protect procurement processes
CURRENT LAW

CONTRIBUTIONS

Limits

- Per-person limits in a single 2017 election:
  - Council: $700
  - LAUSD: $1,100
  - Citywide: $1,400

- Cumulative non-individual limits in a single 2017 election:
  - Council: $210,100
  - Controller: $560,100
  - City Attorney: $560,100
  - Mayor: $1,260,500

- CPI adjustments

Aggregation (Attachment C)
CURRENT LAW

CONTRIBUTIONS

Prohibited from...

• Lobbyist / Lobbying firm
  ➢ If either must register to lobby office held or sought
  ➢ Does not apply to lobbyist employers or clients
  ➢ Does not limit fundraising

• Bidder / Contractor / Subcontractor / Principal
  ➢ If contract is $100,000+ and requires approval by elected office
  ➢ Lasts from date of bid to date contract is signed (12 months later for successful bidder)

• Foreign national
**City Fundraising Windows**

- **Citywide fundraising window opens**: 24 months before primary election
- **City Council fundraising window opens**: 18 months before primary election
- **Primary Election**
- **General Election**
- **Fundraising windows close**: 12 months after general election

*Fundraising window for general election opens after primary election.*

**Post-election fundraising allowed only to retire debt for that election or pay compliance, fundraising, or inauguration expenses for that election.**
LAUSD Fundraising Window

- **LAUSD fundraising window opens**: 18 months before primary election
- **Primary Election**
- **General Election**
- **Primary fundraising window closes**: 9 months after primary election
- **General fundraising window closes**: 9 months after general election

* Fundraising window for general election opens after primary election.

Post-election fundraising allowed only to retire debt for that election.
Goals

Help candidates communicate views
Increase value of smaller contributions
Limit excessive fundraising
Limit campaign spending
Make elections more competitive
Avoid actual and apparent corruption
**CURRENT LAW**

**MATCHING FUNDS PROGRAM**

- Voluntary
- City candidates only
- **Qualification Criteria:**
  - √ Appear on ballot
  - √ Opposed by someone on ballot
  - √ Limit personal spending
  - √ Limit overall spending
  - √ Receive 200 in-district contributions
  - √ Receive threshold amounts of contributions

See Attachment E for details.
CURRENT LAW

MATCHING FUNDS PROGRAM

“Qualified Contribution”

Lawful
Monetary

From individual who resides in City
Not from candidate or candidate’s immediate family
Not loan, pledge, or in-kind

Within fundraising window
After Form 12 (Declaration of Intent to Solicit & Receive Contributions)
CURRENT LAW

MATCHING FUNDS PROGRAM

A candidate must raise threshold amounts of contributions from City residents to qualify:

<table>
<thead>
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<th>Threshold</th>
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Maximum contributions permitted, but…
- Up to $250 per contributor counts toward threshold for City Council candidates.
- Up to $500 per contributor counts toward threshold for Citywide candidates.
CURRENT LAW

MATCHING FUNDS PROGRAM

Claims
• Qualifying contributions
• Supporting documentation

Review
• 4 business days for Ethics
• 2 business days for Controller

Matching Funds Request for Qualification or Claim for Payment Form 22
The maximum amount of funding available to a qualified candidate depends on the office and the election.

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*Up to $250 per contributor may be matched for City Council candidates.*

*Up to $500 per contributor may be matched for Citywide candidates.*
## Match Rates

<table>
<thead>
<tr>
<th></th>
<th>Primary Election</th>
<th>General Election</th>
</tr>
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<tbody>
<tr>
<td>500 Signatures</td>
<td>1:1</td>
<td>1:1</td>
</tr>
<tr>
<td>1,000 Signatures</td>
<td>2:1</td>
<td>4:1</td>
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**Ethics Commission**

**February 20, 2018**
CURRENT LAW

DISCLOSURE

Goals ➔ Promote transparent elections
Inform the electorate
• Identify who is speaking
• Identify who supports/opposes candidates
• Identify who supports/opposes views
CURRENT LAW

DISCLOSURE

Contributions and expenditures
Campaign communications
Independent expenditure communications
## Contributions

- Total contributions
- For each contribution of $100+: date
  - contributor’s name, address, occupation, employer
  - contributor’s code
  - amount / cumulative amounts

### Schedule A
Monetary Contributions Received

<table>
<thead>
<tr>
<th>DATE RECEIVED</th>
<th>FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER ID. NUMBER)</th>
<th>CONTRIBUTOR CODE</th>
<th>AMOUNT RECEIVED THIS PERIOD</th>
<th>CUMULATIVE TO DATE CALANDER YEAR (JAN. 1 - DEC. 31)</th>
<th>PER ELECTION TO DATE (IF REQUIRED)</th>
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</tbody>
</table>

Amounts may be rounded to whole dollars.
### Expenditures

- **Total expenditures**
- **For each expenditure of $100+:** Payee’s name, address, code, description, amount

#### Schedule E Payments Made

<table>
<thead>
<tr>
<th>Item 7--Attachment A</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ethics Commission</td>
</tr>
<tr>
<td>February 20, 2018</td>
</tr>
</tbody>
</table>
**CURRENT LAW**

**DISCLOSURE**

<table>
<thead>
<tr>
<th>Last Five Filing Deadlines Before Primary Election</th>
<th>Period of Reported Activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>October 10</td>
<td>Third quarter of same year</td>
</tr>
<tr>
<td>January 10</td>
<td>Fourth quarter of previous year</td>
</tr>
<tr>
<td>40 days before election</td>
<td>Last filing through 45 days before election</td>
</tr>
<tr>
<td>12 days before election</td>
<td>Last filing through 17 days before election</td>
</tr>
<tr>
<td>Friday before election</td>
<td>Last filing through Wednesday before election</td>
</tr>
</tbody>
</table>

*Other filings are required semi-annually.*

*Other deadlines apply to officeholders not seeking reelection.*
CURRENT LAW

DISCLOSURE

“Campaign Communication”

A communication that expressly advocates the election or defeat or a clearly identified candidate or ballot measure or, taken as a whole and in context, unambiguously urges a particular result in an election and is authorized, distributed, paid for, or behested by a candidate for elected office or by a controlled committee, a recall committee, or a ballot measure committee.
Campaign Communications

**Threshold:** Distribute/broadcast to 200+ persons

**Disclosure:** Copy of print, audio, video communication
                  Copy of script
Campaign Communications cont’d

City Disclaimer: “Paid for by [candidate/committee name, address, city]. Additional information is available at ethics.lacity.org.”

City Filing Deadline: 24 hours after first distribution in months prior to election

5 business days after first distribution all other times.
CURRENT LAW

DISCLOSURE

Campaign Communications cont’d

LAUSD Disclaimer: Mailings: “Paid for by [candidate/committee name, address, city].”

Audio: “[Paid for/Authorized] by [candidate name].”

Recommended: “Additional information is available at ethics.lacity.org.”

LAUSD Filing Deadline: At time of distribution (written) or within 24 hours of airing (audio).
A communication that expressly advocates the election or defeat or a clearly identified candidate or ballot measure or, taken as a whole and in context, unambiguously urges a particular result in an election and is not authorized, distributed, paid for, or beheld by the affected candidate or committee.

“Independent Expenditure Communication”
CURRENT LAW

DISCLOSURE

Independent Expenditure Communications

City Expenses of $1,000+ or
Threshold: Expenses of $100+ and distribution to 1,000+ or
Expenses of $100+ and distribution to 200+ **

City “Paid for by [person’s name, address, city]. Not authorized by
Disclaimer: or coordinated with a City candidate or a committee controlled by
a candidate. Major funding provided by [top two contributors of
$1,000+ in prior six months].** Additional information is available
at ethics.lacity.org.”

** If independent spender is a committee.
Independent Expenditure Communications cont’d

City Disclosure:  Copy of print, audio, video communication
Copy of script
Info about speaker and contributors (if committee)
CURRENT LAW

DISCLOSURE

Independent Expenditure Communications cont’d

City Filing Deadline: 24 hours after first distribution in months before election

5 business days after first distribution all other times.
CURRENT LAW

DISCLOSURE

Independent Expenditure Communications cont’d

LAUSD: Threshold of $1,000+

Disclaimer governed by state law; varies depending on speaker
(recommended: “Additional information is available at ethics.lacity.org.”)

Disclosure of communication and information about speaker and contributors (if committee)

Filing deadline 24 hours after $1,000+ payments
Next Step

Interested Persons Meeting

March 14, 2018

ethics.policy@lacity.org

ethics.lacity.org

(213) 978-1960

City Hall, 24th Floor
200 North Spring Street
Los Angeles 90012
MOTION

Since the 2010 Supreme Court ruling in *Citizens United v. Federal Election Commission*, the amount of money being spent to influence political campaigns has sharply increased. Absent a new court ruling, or a constitutional amendment, the City has limited authority to regulate outside spending from moneyed special interests. One of the most effective counterbalances to this unregulated and unaccountable spending, would be to increase the influence of small dollar donations by increasing the rate at which the city provides public matching funds.

The Los Angeles City Ethics Commission previously submitted a slate of campaign finance reform recommendations in 2014, and, in a more detailed 2015 report, recommended increased matching funds rates for City elections, due to the surplus in the Matching Funds Trust Fund.

The Matching Funds Trust Fund, as envisioned in the City Charter, is intended to reduce the power of moneyed special interests in elections by ensuring qualified candidates will receive enough funding, through public financing, to allow their voices to be heard. At our current rates of match, public funding is not bolstering small dollar donors at the level necessary to ensure qualified candidates can get their message to voters, and the Matching Funds Trust Fund is being replenished at a rate significantly faster than it is being used.

WE THEREFORE MOVE that the Ethics Commission be requested to prepare and present an ordinance and report on the implications of increasing the matching fund rates from the current 2:1 match in primary elections and 4:1 match in general elections to 6:1 in both primary elections and general elections for all candidates who qualify for matching funds.

WE FURTHER MOVE that the Ethics Commission be requested to report on whether the maximum per-contribution matches in Sec. 49.7.27 of the Campaign Finance Ordinance should be revised to cap matches at lower levels, such as $100.

WE FURTHER MOVE that the City Administrative Officer be directed to report back on any impacts these changes may have on the City’s general fund.

CO-PRESENTED BY

DAVID RYU
Councilmember, 4th District

CO-PRESENTED BY

PAUL KREKORIAN
Councilmember, 2nd District

CO-PRESENTED BY

JOE BUSCAINO
Councilmember, 15th District

SECONDED BY

JAN 1, 2017

Item 7--Attachment B

Ethics Commission

February 20, 2018
MOTION

During Los Angeles' March 2015 election, only one in ten eligible voters chose to submit ballots. This low voter turnout is likely due, in part, to voters' belief that they can do little to influence local elections and policies.

This belief is reinforced when developers, and others who have business before the City of Los Angeles contribute widely to political campaigns, and in some cases, flout campaign finance rules entirely. Unlike the City's ban on campaign contributions from companies seeking City contracts, no such ban currently exists for developers seeking City approvals on their potentially-lucrative projects.

Previously, legal uncertainty prevented such a ban; however, in 2004 the City of San Diego implemented a ban on donations from special interests, and this ban was upheld by the U.S. Court of Appeals for the Ninth Circuit in 2011, citing the importance of the "anticorruption and anticircumvention interests" of the restrictions. This recent court decision forges the legal path for Los Angeles to move forward.

Limiting contributions from certain non-individuals can also improve election turnout. When New York City restricted contributions from some non-individual entities, its share of campaign contributions to candidates by individuals rose from 61% in 1997 to 92% in 2013, pointing toward the effectiveness of these measures in their mission to create more local elections driven by individual voters, donors, and stakeholders.

WE THEREFORE MOVE that the Los Angeles City Ethics Commission be requested to prepare an ordinance similar to the 2011 Measure H restrictions approved by the voters, to prohibit contributions to City elected officials and candidates for City office from developers and their principals with development projects currently or recently being considered for discretionary approval by the City.

WE FURTHER MOVE that the Ethics Commission, in coordination with the City Attorney and the Planning Department, be requested to report on possible options for the definition of a "developer" to ensure as wide a net as possible is cast, in addition to exploring whether contractors and subcontractors on development projects requiring discretionary council approval should be included in this ban.

WE FURTHER MOVE that the Ethics Commission be requested to report on best practices, in line with the spirit and intent of this motion, from other jurisdictions who have implemented such bans. In particular, the Metro model based on recusal from voting on contracts where the Board member has accepted monetary contributions should be examined as a possible alternative to a full ban if it proves to be a more constitutionally permissible model.

WE FURTHER MOVE that the Ethics Commission be requested to prepare an ordinance to require campaign committees to provide additional information on non-individual entity contributors, including a category that denotes contributions derived from developers and their principals.

WE FURTHER MOVE that the Ethics Commission be requested to prepare an ordinance to require a signed affidavit that requires the contributor to positively affirm, under penalty of perjury, that the contribution is being made by the contributor, that the contributor is not being reimbursed, and that the contributor does not have any open applications for discretionary approval of development projects before the city, in addition to any other city ethics laws that should be included in the affidavit.

WE FURTHER MOVE that the City Administrative Officer be instructed and the Ethics Commission be requested to report on the costs and benefits of increasing the size of enforcement staff at the Ethics Commission to increase the number of inspections and audits that can be carried out to reduce the incidences of campaign finance fraud and improve transparency, and, to report on the costs of creating an accessible and easy-to-navigate website with front page access to the whistleblower hotline.

CO-PRESENTED BY

PAUL KREKORIAN
Councilmember, 2nd District

DAVID RYU
Councilmember, 4th District

JOE BUSCAINO
Councilmember, 15th District
MOTION

“Clean Money Elections for Los Angeles”

The influence of money in our political system casts a long, heavy shadow over our democracy. Candidates are forced to spend inordinate amounts of time seeking out donors instead of voters, creating a widespread perception of influence peddling and corruption, undermining the electorate’s faith in candidates and elected officials, and discouraging voter participation.

Los Angeles has a long and strong tradition of campaign finance reform. It has a robust public matching funds system, tight contribution limits, strong disclosure and transparency requirements, and a prohibition of donations from lobbyists and fundraising by city commissioners. Yet even Los Angeles’ strong system can be made stronger, increasing voter faith in the process.

In 2005, Councilmember Bill Rosendahl co-authored a motion (CF 05-1536) with then-Councilmembers Eric Garcetti and Wendy Greuel calling for a full public financing system for all elected offices in Los Angeles. That legislation was studied and debated, but no reforms were implemented and the Council File expired in 2011. Subsequent attempts were made to revive such a proposal with no success, often because of the potentially high cost of such a program and the anticipated impacts on the City’s General Fund and its competing priorities: public safety and essential city services.

The concept of “Clean Money,” as it has been called in jurisdictions such as Maine and Arizona, where such programs are in effect, is simple: a level playing field for all candidates is created through a voluntary public financing system. Candidates demonstrate viability by collecting a certain number of low-dollar donations from a large number of constituents, agree to forgo corporate donations, special interest money, further donations from other individuals, or significant self-financing, and in exchange receive a statutorily established amount of money sufficient to run an aggressive and well-financed campaign. Maine and Arizona both have successful versions of public financing that have: led to lowered overall campaign spending; freed candidates from fundraising; increased voter turnout; and encouraged more qualified people to run, including people of color and women.

Tremendous work has been done in recent years to educate the public about this issue, and to move toward a system of publicly-financed elections, including statewide efforts by organizations like “Money Out, Voters In” and the California Clean Money Campaign. The issue of money in elections at all levels of government was also a major theme in the presidential campaign of Vermont Senator Bernie Sanders, which ignited a movement of people throughout the country who want elections to be more fair and transparent.

With the demand to get money out of politics so strong, with the appetite for reform so prevalent, and with city elections scheduled to move to a new even-year cycle in 2020, the time has come to establish a “Clean Money” system of full public financing of Los Angeles municipal elections. Such a proposal should be submitted to the voters, with a dedicated revenue stream to fully pay for it, in the 2018 election cycle.
I THEREFORE MOVE that the City Council request that the City Ethics Commission consider and submit to City Council for potential voter approval in the 2018 election cycle a Clean Money Public Campaign Financing System for all elected offices in the City of Los Angeles.

I FURTHER MOVE that the City Administrative Officer and Chief Legislative Analyst work with the City Ethics Commission and staff to develop a cost estimate for a Clean Money Public Campaign Financing System for all elected offices in the City of Los Angeles.

I FURTHER MOVE that the City Council direct the Chief Legislative Analyst and City Administrative Officer to report to the Council with potential dedicated funding streams for a Clean Money Public Campaign Financing System that does not impact the General Fund. Specific funding sources to consider should include fees on development, and a severance tax for all oil and gas produced within the City of Los Angeles.

PRESENTED BY: MIKE BONIN
Councilmember, 11th District

SECONDED BY:
MOTION

“Stopping Foreign Money from Influencing Elections in Los Angeles”

Federal law currently prohibits foreign governments, foreign-based companies, and people who are not U.S. citizens or permanent residents from contributing or spending money in connection with any local, state, or federal election. However, as a result of the United States Supreme Court’s 2010 Citizens United decision, nothing currently stops foreign interests from laundering money through U.S.-based corporations.

On July 21, 2016, City Councilwoman Darden Rice of St. Petersburg, Florida introduced an ordinance to the St. Petersburg City Council to prevent spending by foreign-influenced corporations in city elections. The St. Petersburg ordinance requires corporations that spend $5,000 or more to influence St. Petersburg elections to certify that they are not controlled in significant part by foreign entities.

The St. Petersburg ordinance, which has been championed by grassroots organizations like “Free Speech for People” offers an interesting and important example for Los Angeles as City leaders work to reduce the influence of money in local elections.

I THEREFORE MOVE that the City Administrative Officer and Chief Legislative Analyst work with the City Ethics Commission and staff to prepare and present a report to the City Council on options for pursuing an ordinance in Los Angeles that requires any businesses spending money in local elections to certify that they are not foreign-influenced.

PRESENTED BY: MIKE BONIN
Councilmember, 11th District

SECONDED BY: [Signature]

JAN 17 2017
Aggregation

In certain circumstances, contributions from two or more persons must be aggregated and treated as if they were given by the same person. This occurs when one person exerts a certain level of control over another. Charter § 803(n); LAMC § 49.7.4.

Aggregation is designed to prevent circumvention of the contribution limits. As a result, the total amount given by aggregated persons to the same candidate or committee in a single election is subject to the applicable per-person contribution limit. In addition, the lowest applicable contribution limit applies: if a person is prohibited from making a contribution to a particular candidate or committee, then everyone aggregated with that person is also prohibited. LAMC § 49.7.4.

<table>
<thead>
<tr>
<th>A contribution from this person...</th>
<th>Must be aggregated with a contribution from this person.</th>
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<tbody>
<tr>
<td>A person whose contribution or expenditure activity is controlled by another...</td>
<td>The person who controls that contribution or expenditure activity.</td>
</tr>
<tr>
<td>A business entity...</td>
<td>A person who participates in the business entity’s decision to make a contribution when the person is prohibited from making the contribution and holds an ownership interest of at least 20 percent in the business entity.</td>
</tr>
<tr>
<td>A business entity other than a sole proprietorship or a general or limited partnership...</td>
<td>An individual who owns an investment interest in the entity of 50 percent of more.</td>
</tr>
<tr>
<td>A sole proprietorship...</td>
<td>The individual who owns the sole proprietorship.</td>
</tr>
<tr>
<td>A general or limited partnership...</td>
<td>A general partner who owns an investment interest in the partnership of 50 percent or more or holds a majority of the voting rights.</td>
</tr>
<tr>
<td>A corporation or LLC...</td>
<td>Another corporation or LLC that either shares the same majority shareholders or members as or holds a majority of the voting rights in the first corporation or LLC.</td>
</tr>
<tr>
<td>A corporation...</td>
<td>Another corporation that is a parent or subsidiary of the first corporation, when at least one of them is not publicly traded.</td>
</tr>
<tr>
<td>An entity with a board of directors...</td>
<td>Another entity with a board of directors that shares the same majority directors as the first entity.</td>
</tr>
<tr>
<td>An entity with officers...</td>
<td>Another entity with officers that shares the same majority officers as the first entity.</td>
</tr>
<tr>
<td>A committee...</td>
<td>A person who participates in the committee’s decision to make a contribution when the person is prohibited from making the contribution and provides (alone or in conjunction with other similarly prohibited persons) 20 percent or more of the committee’s funding.</td>
</tr>
<tr>
<td>A sponsored committee...</td>
<td>The committee’s sponsoring organization.</td>
</tr>
</tbody>
</table>
The limits and thresholds below apply to the 2017 regular City elections. The limits apply per candidate, per election. The primary and the general are separate elections. The limits do not apply to LAUSD elections.

<table>
<thead>
<tr>
<th></th>
<th>Amount</th>
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<tr>
<td><strong>2017 Regular City Election Limits</strong></td>
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<tr>
<td><strong>Per-person Contribution Limit</strong></td>
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</tr>
<tr>
<td>City Council candidates</td>
<td>$700</td>
</tr>
<tr>
<td>Mayoral, City Attorney, and Controller candidates</td>
<td>$1,400</td>
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<tr>
<td><strong>Aggregate Limit on Non-individual Contributions</strong></td>
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<tr>
<td>City Council candidates</td>
<td>$210,100</td>
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<tr>
<td>City Attorney and Controller candidates</td>
<td>$560,100</td>
</tr>
<tr>
<td>Mayoral candidates</td>
<td>$1,260,500</td>
</tr>
<tr>
<td><strong>Other Contribution Limits</strong></td>
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<tr>
<td>Per-person cash contribution limit</td>
<td>$30</td>
</tr>
<tr>
<td>Aggregate limit on anonymous contributions</td>
<td>$200</td>
</tr>
<tr>
<td><strong>Limit on Matching Funds Candidate’s Contributions to Self</strong></td>
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</tr>
<tr>
<td>City Council candidates</td>
<td>$32,300</td>
</tr>
<tr>
<td>Mayoral, City Attorney, and Controller candidates</td>
<td>$129,300</td>
</tr>
<tr>
<td><strong>Expenditure Ceiling for Matching Funds Candidates (Primary)</strong></td>
<td></td>
</tr>
<tr>
<td>City Council candidates</td>
<td>$498,000</td>
</tr>
<tr>
<td>Controller candidates</td>
<td>$1,162,000</td>
</tr>
<tr>
<td>City Attorney candidates</td>
<td>$1,307,000</td>
</tr>
<tr>
<td>Mayoral candidates</td>
<td>$2,906,000</td>
</tr>
<tr>
<td><strong>Expenditure Ceiling for Matching Funds Candidates (General)</strong></td>
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</tr>
<tr>
<td>City Council candidates</td>
<td>$415,000</td>
</tr>
<tr>
<td>Controller candidates</td>
<td>$872,000</td>
</tr>
<tr>
<td>City Attorney candidates</td>
<td>$1,017,000</td>
</tr>
<tr>
<td>Mayoral candidates</td>
<td>$2,323,000</td>
</tr>
<tr>
<td><strong>Independent Spending Threshold That Lifts Expenditure Ceiling</strong></td>
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<tr>
<td>City Council candidates</td>
<td>$80,000</td>
</tr>
<tr>
<td>City Attorney and Controller candidates</td>
<td>$161,000</td>
</tr>
<tr>
<td>Mayoral candidates</td>
<td>$321,000</td>
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</tbody>
</table>
### Qualifying Criteria for Matching Funds Participants

<table>
<thead>
<tr>
<th>Office</th>
<th>Qualifications</th>
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</thead>
</table>
| **Mayor**            | • Be certified to appear on the ballot.  
|                      | • Be opposed by a candidate who is also certified to appear on the ballot.  
|                      | • File all required campaign statements.  
|                      | • Attend, along with the committee treasurer, an Ethics Commission training.  
|                      | • Agree in writing to debate opponents at least once in the primary and twice in the general.  
|                      | • Receive 200 qualifying contributions* of at least $5 each from individuals living in the City.  
|                      | • Raise at least $150,000 in qualifying contributions* from individuals living in the City (only the first $500 of each contribution counts for qualification purposes).  
|                      | • Limit campaign spending to $2,906,000 in the primary and $2,323,000 in the general.  
|                      | • Limit contributions from the candidate’s personal funds to $129,300 in the primary and $129,300 in the general.  
| **City Attorney / Controller** | • Be certified to appear on the ballot.  
|                      | • Be opposed by a candidate who is also certified to appear on the ballot.  
|                      | • File all required campaign statements.  
|                      | • Attend, along with the committee treasurer, an Ethics Commission training.  
|                      | • Agree in writing to debate opponents at least once in the primary and twice in the general.  
|                      | • Receive 200 qualifying contributions* of at least $5 each from individuals living in the City.  
|                      | • Raise at least $75,000 in qualifying contributions* from individuals living in the City (only the first $500 of each contribution counts for qualification purposes).  
|                      | • Limit campaign spending to the following:  
|                      |   | **Primary** | **General** |
|                      | City Attorney: | $1,307,000 | $1,017,000 |
|                      | Controller:    | $1,162,000 | $872,000   |
|                      | • Limit contributions from the candidate’s personal funds to $129,300 in the primary and $129,300 in the general.  
| **City Council**     | • Be certified to appear on the ballot.  
|                      | • Be opposed by a candidate who is also certified to appear on the ballot.  
|                      | • File all required campaign statements.  
|                      | • Attend, along with the committee treasurer, an Ethics Commission training.  
|                      | • Agree in writing to debate opponents at least once in the primary and twice in the general.  
|                      | • Receive 200 qualifying contributions* of at least $5 each from individuals living in the council district.  
|                      | • Raise at least $25,000 in qualifying contributions* from individuals living in the City (only the first $250 of each contribution counts for qualification purposes).  
|                      | • Limit campaign spending to $498,000 in the primary and $415,000 in the general.  
|                      | • Limit contributions from the candidate’s personal funds to $32,300 in the primary and $32,300 in the general.  

* Qualifying contributions must be received from City residents, within the fundraising window, and after you have filed an “Intent to Solicit and Receive Contributions” form (Form 12) with the Ethics Commission. Qualifying contributions do not include contributions from you or your immediate family, loans, pledges, non-individual contributions, or non-monetary contributions. LAMC § 49.7.2(T).
# Matching Funds Request for Qualification or Claim for Payment

**Form 22**

<table>
<thead>
<tr>
<th>Candidate Name (Last, First, Middle)</th>
<th>Date of Request/Claim</th>
</tr>
</thead>
<tbody>
<tr>
<td>Committee Name</td>
<td>ID Number</td>
</tr>
<tr>
<td>Office Sought (include district number if applicable)</td>
<td>Date of Election</td>
</tr>
</tbody>
</table>

## Type of Form

- [ ] Qualification Request
  - [ ] Original filing
  - [ ] Amended filing (original signed on __________; last amendment signed on __________)
  - [ ] Payment Claim
  - [ ] Original filing
  - [ ] Amended filing (original signed on __________; last amendment signed on __________)
  - Amount of matching funds claimed through this form: $ __________________________
  - [ ] Qualification Request and Payment Claim
  - [ ] Original filing
  - [ ] Amended filing (original signed on __________; last amendment signed on __________)
  - Amount of matching funds claimed through this form: $ __________________________

## Documentation Requirements

**For qualification requests,** you must submit the following:
1. The table on page 2 of this form, identifying:
   - A. Contributions from individuals residing within the City and totaling the applicable amount in LAMC § 49.7.23(C)(1)(a); and
   - B. For City Council candidates, contributions of $5 or more from at least 200 individuals residing in the district for which office is sought (indicated by checking “In District” column).
2. Documentation supporting each contribution (copies of checks, credit card receipts, contributor verification forms, etc.).

**For payment claims,** you must submit the following:
1. The table on page 2 of this form, identifying contributions from individuals residing within the City and representing the minimum amount required by LAMC § 49.7.28(A).
2. Documentation supporting each contribution (copies of checks, credit card receipts, contributor verification forms, etc.).

## Certifications

I declare under penalty of perjury under the laws of the City of Los Angeles and the state of California that all contributions have been deposited into the campaign checking account of the committee identified above and that, to the best of my knowledge and belief, this form and all supporting documents are true and complete and all contributions are from City residents.

<table>
<thead>
<tr>
<th>Candidate Signature</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Treasurer Signature</td>
<td>Date</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Treasurer Name</th>
</tr>
</thead>
</table>

## Ethics Commission Use Only

<table>
<thead>
<tr>
<th>Claim #</th>
<th>Rate</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1:1</td>
</tr>
<tr>
<td></td>
<td>2:1/4:1</td>
</tr>
</tbody>
</table>

| Approved payment for this claim: | $ __________________________ |
| Amounts previously paid: | $ __________________________ |
| Total payments to date: | $ __________________________ | Verified __________ |

October 2014 Los Angeles Municipal Code §§ 49.7.23, 49.7.28
Los Angeles Administrative Code §§ 24.32(b), 24.34

Item 7--Attachment F

Ethics Commission 1 of 2 February 20, 2018
### Matching Funds Request for Qualification or Claim for Payment

#### Form 22

<table>
<thead>
<tr>
<th>Committee Name</th>
<th>ID Number</th>
</tr>
</thead>
</table>

**Type of Form:**
- [ ] Qualification Request
- [ ] Payment Claim *(Claim # _______)*
- [ ] Qualification Request & Payment Claim *(Claim # _______)*

**Qualified Contributions** *(List in alphabetical order by contributor last name. All contributions must be from individuals residing in the City.)*

<table>
<thead>
<tr>
<th>Date Received</th>
<th>Contributor Name <em>(Last, First)</em></th>
<th>Residence Address</th>
<th>Occupation and Employer</th>
<th>Amount of Contribution</th>
<th>Matchable Amount of Contribution</th>
<th>Amount Previously Matched for this Contributor</th>
<th>Total Contributions from this Contributor</th>
<th>In-District? <em>(City Council only)</em></th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>

**Subtotal:**

---

*You must print this page before listing additional qualified contributions. Entries that are cleared cannot be recovered.*
At what rate will my qualified contributions be matched?

Your match rate depends on how you qualify for the ballot.

Did you pay the filing fee?

YES

You obtained:
500 valid nominating petitions signatures

1 Qualified Dollar = 1 Matching Dollar (primary and general)

NO

You obtained:
500 valid nominating petitions signatures
+ 500 valid matching funds additional signatures

You obtained:
1000 valid nominating petition signatures

1 Qualified Dollar = 2 Matching Dollars (primary)
1 Qualified Dollar = 4 Matching Dollars (general)

This is only an overview; additional City and state laws apply. Anyone who participates in City elections is responsible for understanding and complying with all of the laws, whether referred to here or not.
The Form 460 is for use by all recipient committees, including:

Candidates, Officeholders and Their Controlled Committees
- A candidate or officeholder who has a controlled committee, or who has raised or spent $2,000 or more during a calendar year in connection with election to office or holding office. The Form 460 is also required if $2,000 or more will be raised or spent during the calendar year at the behest of the officeholder or candidate.

Primarily Formed Ballot Measure Committees
- A person, entity, or organization that receives contributions totaling $2,000 or more during a calendar year for the primary purpose of supporting or opposing the qualification, passage, or defeat of a single ballot measure or two or more measures being voted on in the same city, county, multi-county or state election.

Primarily Formed Candidate/Officeholder Committees
- A person, entity, or organization that receives contributions totaling $2,000 or more during a calendar year to support or oppose a single candidate or officeholder, or two or more candidates or officeholders who are being voted upon in the same city, county, or multi-county election. This type of committee is not controlled by the candidate(s) or officeholder(s).

General Purpose Committees
- A person, entity, or organization that receives contributions totaling $2,000 or more during a calendar year to support or oppose various candidates and measures (e.g., political parties, political action committees).

Non-controlled committees that do not receive contributions, loans, or miscellaneous receipts totaling $100 or more from a single source during a calendar year may use Form 450 – Recipient Committee Campaign Statement – Short Form.

Note: Refer to the Statement of Organization, Form 410, for guidance to determine the type of committee.

Use the Form 460 to file any of the following:
- Preelection Statement
- Semi-annual Statement
- Quarterly Statement
- Special Odd-Year Report
- Termination Statement
- Amendment to a previously filed statement

Note: Mark the preelection statement box if a committee files a monthly report in connection with a LAFCO proposal.

See reverse for general guidance on where to file this form.
Where to File:
In general, state committees file with the Secretary of State and local committees file with the filing officer of the local jurisdiction.

State Committees:
State committees include state candidates and officeholders, all judicial candidates and judges, committees that support or oppose state candidates and ballot measures (e.g. PACs, political parties), committees that support or oppose candidates and ballot measure in more than one county and candidates and committees formed for CalPERS or CalSTRS elections.

Secretary of State
Political Reform Division
1500 11th Street, Room 495
Sacramento, CA 95814
Phone (916) 653-6224
Fax (916) 653-5045
www.sos.ca.gov

Additional Copies:
- A copy of this form must also be filed with a state candidate’s county of domicile’s filing officer, if the state candidate committee does not file Form 460 electronically with the Secretary of State.
- A copy of this form must also be filed with a local filing officer if the committee is controlled by a candidate for state elective office and the committee is formed for a local election.
- A copy of this form must also be filed with the relevant CalPERS or CalSTRS office if the committee is a candidate controlled or a primarily formed committee for a CalPERS or CalSTRS election. A candidate seeking a CalPERS or CalSTRS election is not required to file a copy of the statement with the candidate’s county of domicile.

Local Committees:
- Elected officers and candidates for local agencies that have jurisdiction in two or more counties and committees that support or oppose candidates or local measures being voted on in one of these jurisdictions, file an original and one copy with the election official for the county with the largest number of registered voters in the district and one copy with their county of domicile.
- Elected county officeholders and candidates for county offices, and committees that support or oppose candidates or ballot measures being voted on within a single county, file an original and one copy with the election official for that county.
- Elected city officeholders and candidates for city offices, and committees that support or oppose candidates and ballot measures in a single city, file an original and one copy with the city clerk.

Fast Facts:

Paper Copies: Most committees must file the original and one copy in paper format with the designated filing officer. Most state committees must also file an electronic version. Some local jurisdictions also require electronic submissions.

Electronic Filing: State committees must file electronic reports with the Secretary of State if the committee receives contributions or makes expenditures totaling $25,000 or more.

General Purpose Committees: FPPC regulation 18227.5 sets out the procedures for determining whether a committee should file with the state, county or city elections office. In general, such committees file with the Secretary of State unless the committee makes more than 70% of its contributions and expenditures in connection with a city election or county election. The regulation sets out review timelines and exceptions. A committee cannot knowingly file in an incorrect jurisdiction with the intention of avoiding the appropriate legal disclosure to the public. Committees that change jurisdictions file in both jurisdictions until the end of the calendar year.

LAFCO Proposals: Committees primarily formed to support or oppose a LAFCO proposal file this form with the county elections office in the county that the proposal may be voted upon. Once a proposal is listed on a ballot, a committee will file as a multi-county, county or city committee.

Statement of Organization: A committee must make certain that its Statement of Organization, Form 410, is current and correct. This form includes information such as a candidate’s year of election and the name of the committee’s principal officers as well as other important information regarding the committee’s formation. Information listed on a Form 460 must be the same as that disclosed on the Form 410.
1. Type of Recipient Committee: All Committees – Complete Parts 1, 2, 3, and 4.

- [ ] Officeholder, Candidate Controlled Committee
- [ ] State Candidate Election Committee
- [ ] Recall
  (Also Complete Part 5)
- [ ] General Purpose Committee
  - [ ] Sponsored
  - [ ] Small Contributor Committee
  - [ ] Political Party/Central Committee
- [ ] Primarily Formed Ballot Measure Committee
  (Also Complete Part 6)
- [ ] Primarily Formed Candidate/Officeholder Committee
  (Also Complete Part 7)

2. Type of Statement:

- [ ] Preelection Statement
- [ ] Semi-annual Statement
- [ ] Termination Statement
  (Also file a Form 410 Termination)
- [ ] Quarterly Statement
- [ ] Special Odd-Year Report
- [ ] Amendment (Explain below)

3. Committee Information

<table>
<thead>
<tr>
<th>I.D. NUMBER</th>
<th>TREASURER(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>COMMITTEE NAME (OR CANDIDATE’S NAME IF NO COMMITTEE)</td>
<td></td>
</tr>
<tr>
<td>STREET ADDRESS (NO P.O. BOX)</td>
<td></td>
</tr>
<tr>
<td>CITY</td>
<td>STATE</td>
</tr>
<tr>
<td>MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX</td>
<td></td>
</tr>
<tr>
<td>CITY</td>
<td>STATE</td>
</tr>
<tr>
<td>OPTIONAL: FAX/E-MAIL ADDRESS</td>
<td></td>
</tr>
<tr>
<td>OPTIONAL: FAX/E-MAIL ADDRESS</td>
<td></td>
</tr>
</tbody>
</table>

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

- Executed on ___________ Date ___________
- By __________________________ Signature of Treasurer or Assistant Treasurer
- Executed on ___________ Date ___________
- By __________________________ Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor
- Executed on ___________ Date ___________
- By __________________________ Signature of Controlling Officeholder, Candidate, State Measure Proponent
- Executed on ___________ Date ___________
- By __________________________ Signature of Controlling Officeholder, Candidate, State Measure Proponent
Instructions for
Recipient Committee
Campaign Statement – Cover Page

Period Covered by a Statement:
The “period covered” by a campaign statement begins the day after the closing date of the last campaign statement filed. For example, if the closing date of the last statement was September 30, the beginning date of the next statement will be October 1.

If this is the committee’s first campaign statement, begin with January 1 of the current calendar year.

The closing date of the statement depends on the type of statement you are filing.

Date of Election:
If you are filing this statement as a preelection statement in connection with an election, enter the date of the election.

Type of Recipient Committee:
Check one box to indicate the type of committee filing the statement. General descriptions are provided on the cover sheet to this form, or contact your filing officer or the FPPC for assistance. Following are some additional guidelines:

Controlled Committee
• A controlled committee is one that is controlled by a candidate, officeholder or, in the case of a state ballot measure committee, by the proponent of the measure. A committee is “controlled” if the candidate, officeholder, or proponent, his or her agent, or any other committee he or she controls, has a significant influence on the actions or decisions of the committee.

Sponsored Committees
• A sponsored committee is one that has a sponsor—a business entity, organization, union, or other entity—that meets certain criteria. Sponsored ballot measure committees and general purpose committees must include the name of the sponsor in the name of the committee.

Small Contributor Committees
• This term is significant only if the committee makes contributions to candidates running for elective state office.

Type of Statement:
Check the appropriate box(es) to indicate the type of statement you are filing (or amending).

Amendments:
If you are filing an amendment to a previously filed statement, give a brief explanation of the amendment and list the schedules being amended. Include an amended summary page, if applicable. Be sure to enter the period covered of the statement you are amending.

Termination:
A committee must continue filing campaign statements each year until it is eligible to terminate and files a Form 410 Termination. Most officeholders must continue filing campaign statements until they have terminated all controlled committees and have left office.

Committee I.D. Number:
If the committee has not yet received an identification number from the Secretary of State, enter “Not Yet Received.” File Form 410 to obtain an I.D. Number.

Verification:
The statement must be signed by the committee treasurer or the assistant treasurer named on the committee’s Statement of Organization (Form 410). An officeholder, candidate, or state measure proponent who controls the committee must also sign the statement. If two or three officeholders, candidates, or proponents control the committee, each must sign the statement. If more than three control the committee, one may sign on behalf of the others.

Under certain circumstances, the responsible officer of a sponsoring organization must sign the statement.

Additional Important Information:
Refer to the FPPC Campaign Disclosure Manual for your type of committee for information about:
• When, where, and what type of statements the committee is required to file.
• Closing date of campaign statements.
• Sponsored committee criteria.
• Termination criteria.
• Recordkeeping requirements and prohibitions.
5. Officeholder or Candidate Controlled Committee

<table>
<thead>
<tr>
<th>NAME OF OFFICEHOLDER OR CANDIDATE</th>
<th>I.D. NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)</td>
<td></td>
</tr>
<tr>
<td>RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET)</td>
<td>CITY</td>
</tr>
<tr>
<td>COMMITTEE NAME</td>
<td>I.D. NUMBER</td>
</tr>
<tr>
<td>NAME OF TREASURER</td>
<td>CONTROLLED COMMITTEE?</td>
</tr>
<tr>
<td>COMMITTEE ADDRESS</td>
<td>STREET ADDRESS (NO P.O. BOX)</td>
</tr>
<tr>
<td>CITY</td>
<td>STATE</td>
</tr>
</tbody>
</table>

Related Committees Not Included in this Statement: List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.

<table>
<thead>
<tr>
<th>COMMITTEE NAME</th>
<th>I.D. NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>NAME OF TREASURER</td>
<td>CONTROLLED COMMITTEE?</td>
</tr>
<tr>
<td>COMMITTEE ADDRESS</td>
<td>STREET ADDRESS (NO P.O. BOX)</td>
</tr>
<tr>
<td>CITY</td>
<td>STATE</td>
</tr>
</tbody>
</table>

6. Primarily Formed Ballot Measure Committee

<table>
<thead>
<tr>
<th>NAME OF BALLOT MEASURE</th>
<th>I.D. NUMBER</th>
</tr>
</thead>
<tbody>
<tr>
<td>BALLOT NO. OR LETTER</td>
<td>JURISDICTION</td>
</tr>
<tr>
<td>SUPPORT</td>
<td>OPPOSE</td>
</tr>
<tr>
<td>DISTRICT NO. IF ANY</td>
<td></td>
</tr>
</tbody>
</table>

Identify the controlling officeholder, candidate, or state measure proponent, if any.

| NAME OF OFFICEHOLDER, CANDIDATE, OR PROONENT |
| OFFICE SOUGHT OR HELD | JURISDICTION |
| SUPPORT | OPPOSE |
| DISTRICT NO. IF ANY |

7. Primarily Formed Candidate/Officeholder Committee

List names of officeholder(s) or candidate(s) for which this committee is primarily formed.

<table>
<thead>
<tr>
<th>NAME OF OFFICEHOLDER OR CANDIDATE</th>
<th>OFFICE SOUGHT OR HELD</th>
</tr>
</thead>
<tbody>
<tr>
<td>SUPPORT</td>
<td>OPPOSE</td>
</tr>
<tr>
<td>NAME OF OFFICEHOLDER OR CANDIDATE</td>
<td>OFFICE SOUGHT OR HELD</td>
</tr>
<tr>
<td>SUPPORT</td>
<td>OPPOSE</td>
</tr>
<tr>
<td>NAME OF OFFICEHOLDER OR CANDIDATE</td>
<td>OFFICE SOUGHT OR HELD</td>
</tr>
<tr>
<td>SUPPORT</td>
<td>OPPOSE</td>
</tr>
</tbody>
</table>

Attach continuation sheets if necessary
Officeholder or Candidate Controlled Committee:
Candidates must have a separate bank account and committee to run for different elective offices. A candidate who is required to file campaign statements in connection with more than one elective office but is only receiving contributions and making expenditures for one of the offices, may include both offices on one Form 460. In Part 5 of the cover page, enter the candidate’s name and under “Office Sought or Held,” identify each office, and state whether the candidate is seeking or holding the office. The Form 460 must be filed with the appropriate filing officer(s) for each office.

For example, a city councilmember is raising funds to run for the county board of supervisors. She has no committee and is not raising or spending funds in connection with the city office, and has formed a controlled committee for the county office. To comply with the requirements to file campaign statements for both her city office and her county candidacy, she may complete one Form 460 each campaign reporting period, which she will file with the city clerk and the county elections department. In Part 5 of the Form 460 Cover Page, under “Office Sought or Held,” she will state that she is holding the office of city councilmember (including the name of the city) and that she is seeking a seat on the board of supervisors (including the name of the county).

Ballot Measure Committee:
Part 6 of the Form 460 Cover Page must be completed by committees that are primarily formed to support or oppose the qualification or passage of a single ballot measure or two or more measures being voted on in the same city, county, multicounty, or state election. A “general purpose” ballot measure committee (one that supports or opposes a variety of state and/or local ballot measures) is not required to complete Part 6.
## Campaign Disclosure Statement

### Summary Page

**Contributions Received**

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Column A</th>
<th>Column B</th>
<th>Calendar Year Summary for Candidates Running in Both the State Primary and General Elections</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Monetary Contributions</td>
<td>Schedule A, Line 3</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>Loans Received</td>
<td>Schedule B, Line 3</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>SUBTOTAL CASH CONTRIBUTIONS</td>
<td>Add Lines 1 + 2</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>4.</td>
<td>Nonmonetary Contributions</td>
<td>Schedule C, Line 3</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>5.</td>
<td>TOTAL CONTRIBUTIONS RECEIVED</td>
<td>Add Lines 3 + 4</td>
<td>$</td>
<td></td>
</tr>
</tbody>
</table>

**Expenditures Made**

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Column A</th>
<th>Column B</th>
<th>Expenditure Limit Summary for State Candidates</th>
</tr>
</thead>
<tbody>
<tr>
<td>6.</td>
<td>Payments Made</td>
<td>Schedule E, Line 4</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>7.</td>
<td>Loans Made</td>
<td>Schedule H, Line 3</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>8.</td>
<td>SUBTOTAL CASH PAYMENTS</td>
<td>Add Lines 6 + 7</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>9.</td>
<td>Accrued Expenses (Unpaid Bills)</td>
<td>Schedule F, Line 3</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>10.</td>
<td>Nonmonetary Adjustment</td>
<td>Schedule C, Line 3</td>
<td>$</td>
<td></td>
</tr>
<tr>
<td>11.</td>
<td>TOTAL EXPENDITURES MADE</td>
<td>Add Lines 8 + 9 + 10</td>
<td>$</td>
<td></td>
</tr>
</tbody>
</table>

**Current Cash Statement**

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>12.</td>
<td>Beginning Cash Balance</td>
<td>Previous Summary Page, Line 16</td>
</tr>
<tr>
<td>13.</td>
<td>Cash Receipts</td>
<td>Column A, Line 3 above</td>
</tr>
<tr>
<td>14.</td>
<td>Miscellaneous Increases to Cash</td>
<td>Schedule I, Line 4</td>
</tr>
<tr>
<td>15.</td>
<td>Cash Payments</td>
<td>Column A, Line 8 above</td>
</tr>
<tr>
<td>16.</td>
<td>ENDING CASH BALANCE</td>
<td>Add Lines 12 + 13 + 14, then subtract Line 15</td>
</tr>
</tbody>
</table>

**Cash Equivalents and Outstanding Debts**

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>17.</td>
<td>LOAN GUARANTEES RECEIVED</td>
<td>Schedule B, Part 2</td>
</tr>
<tr>
<td>18.</td>
<td>Cash Equivalents</td>
<td>See instructions on reverse</td>
</tr>
<tr>
<td>19.</td>
<td>Outstanding Debts</td>
<td>Add Line 2 + Line 9 in Column B above</td>
</tr>
</tbody>
</table>

**Statement covers period**

<table>
<thead>
<tr>
<th>From</th>
<th>Through</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Amounts may be rounded to whole dollars.**

**To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).**
The Summary Page provides an overview of the committee’s financial activities and is completed for each filing.

Column A reflects activities during the current reporting period as reported on Schedules A through H. It is not necessary to attach a blank schedule if there has been no reportable activity during the period, but it is necessary to enter a zero or the word “none” on the appropriate line in Column A of the Summary Page.

Column B figures should reflect the cumulative total since January 1 of the current calendar year.* Add the totals from Column B of the committee’s last campaign statement (if any) to the corresponding amounts in Column A. If this is the first report being filed for a calendar year, only carry forward the amounts reported on Lines 2, 7, and 9 of Column B (if any) from the committee’s last statement. (Note: The amounts reported on Lines 2, 7, and 9 of Column B should be the same as the total outstanding amounts disclosed in column (d) of Schedules B, F, and H, respectively, of the current report.)

When loans (Schedules B and H) and accrued expenses (Schedule F) are paid, the figures to be carried from the schedules to Lines 2, 7, and 9 of Column A may be negative numbers. In this case, be sure to show them as negative figures on the Summary Page (e.g., with a minus sign (-) or in parentheses), and subtract them when totaling Columns A and B.

*There are exceptions to the calendar year “cumulation period” for candidate elections and ballot measure elections held in January and early February, and for ballot measure qualification activities. Consult the FPPC Campaign Disclosure Manual for your type of committee for additional information.

Current Cash Statement:

For election periods (primary and general elections through the end of the reporting period that are subject to the expenditure ceiling), all candidates should report the total of the campaign fund balances as reported on Line 16 of your previous statement’s Summary Page. If this is your first campaign statement, enter zero on Line 12.

Line 12 (Beginning Cash Balance) must be the same as the ending cash balance reported on Line 16 of your campaign statement’s Summary Page. If this is your last campaign statement, enter zero on Line 16.

Cash Equivalents:

“Cash equivalents” include investments that cannot be readily converted to cash, such as certificates of deposit, money market accounts, stocks and bonds, etc. (Officeholders and candidates are subject to bank account restrictions, and all committees should read the FPPC Campaign Disclosure Manual regarding appropriate uses of campaign funds.)

If you are filing a termination statement, Line 16 must be zero.
## Schedule A Summary

1. Amount received this period – itemized monetary contributions.
   (Include all Schedule A subtotals.) ................................................................. $ ________

2. Amount received this period – unitemized monetary contributions of less than $100 ................. $ ________

3. Total monetary contributions received this period.
   (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ...................... TOTAL $ __________
Report monetary contributions (except loans) received during the reporting period on Schedule A. Also report on Schedule A if a contributor forgives a loan for your committee or a third party pays a loan for your committee. Loans received during the period are reported on Schedule B. Certain transfers between a state candidate's controlled committees are also disclosed on Schedule A. (See FPPC Campaign Disclosure Manual 1.)

If a total of $100 or more is received from a single contributor during a calendar year, report the name, street address, city, state and zip code of the contributor, the amount contributed this period, and the cumulative amount received from the contributor since January 1 of the current calendar year.* Include monetary and nonmonetary contributions and loans when reporting the cumulative amount.

Contributions totaling less than $100 received from a single contributor during a calendar year are reported as a lump sum on Line 2 of the Schedule A Summary.

*There are exceptions to the calendar year "cumulation period" for candidate elections and ballot measure elections held in January and early February, and for ballot measure qualification activities. (See the FPPC Campaign Disclosure Manuals for candidates and ballot measure committees.)

Date Received:
A monetary contribution has been received when the candidate or committee, or an agent of the candidate or committee, receives or obtains control of the check or other negotiable instrument. There are special rules for reporting the date contributions are received by a committee that collects contributions through employee payroll deductions or membership dues and contributions received electronically (e.g., credit card, text).

Contributor Codes:
For each itemized contributor, check the applicable contributor code:
IND — contributions from any individual's personal funds.
COM — contributions from other committees that receive contributions. These committees will have an identification number assigned by the Secretary of State. Examples: political action committees, other candidates’ committees. (State committees should use PTY or SCC when appropriate.)
OTH — business entities and other contributors.
PTY — contributions from political parties (including state and county central committees).
SCC — contributions from small contributor committees (applicable only to state candidates and committees).

Contributions from Individuals:
When itemizing a contribution from an individual, also disclose the contributor’s occupation and the name of his or her employer. If the contributor is self-employed, provide the name of his or her business. If the contributor is not employed, enter "none."

It is not necessary to enter occupation and employer information for other types of contributors (such as business entities).

Missing Contributor Information: A contribution of $100 or more must be returned to the contributor within 60 days if the recipient does not obtain the contributor’s address, occupation and employer.

Contributions from Committees:
When itemizing a contribution from another recipient committee, disclose the identification number assigned to that committee by the Secretary of State in addition to its name and address. If no ID number has been assigned, provide the name and address of that committee’s treasurer.

Intermediaries:
If you receive a contribution through an intermediary (i.e., you have received a contribution check from a person other than the true source of the funds), disclose all of the required information for both the intermediary and the actual contributor.

Per Election to Date:
Candidates subject to state contribution limits (or if required by local ordinance) must disclose the cumulative amount received from each contributor during the limitation cycle in addition to the calendar year cumulative amount. (Candidates for elective state office should refer to FPPC Campaign Disclosure Manual 1.)

Additional Important Information:
Refer to the FPPC Campaign Disclosure Manual for your type of committee for important information about aggregating monetary and nonmonetary contributions, recordkeeping, prohibitions on cash contributions, returning contributions, and more.

FPPC Form 460 (Jan/2016)
FPPC Advice: advice@fppc.ca.gov (866/275-3772)
www.fppc.ca.gov
Schedule A (Continuation Sheet)
Monetary Contributions Received

Amounts may be rounded to whole dollars.

<table>
<thead>
<tr>
<th>DATE RECEIVED</th>
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SUBTOTAL $

FPPC Form 460 (Jan/2016)
FPPC Advice: advice@fppc.ca.gov (866/275-3772)
www.fppc.ca.gov

*Contributor Codes
IND – Individual
COM – Recipient Committee (other than PTY or SCC)
OTH – Other (e.g., business entity)
PTY – Political Party
SCC – Small Contributor Committee

Clear Sch. A Con.  Print Form
Schedule B – Part 1
Loans Received

Amounts may be rounded to whole dollars.

Statement covers period from ___________ through ___________

CALIFORNIA FORM 460

Page _____ of _____

I.D. NUMBER

<table>
<thead>
<tr>
<th>FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)</th>
<th>IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)</th>
<th>OUTSTANDING BALANCE BEGINNING THIS PERIOD</th>
<th>(b) AMOUNT RECEIVED THIS PERIOD</th>
<th>(c) AMOUNT PAID OR FORGIVEN THIS PERIOD</th>
<th>(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD</th>
<th>(e) INTEREST PAID THIS PERIOD</th>
<th>(f) ORIGINAL AMOUNT OF LOAN</th>
<th>(g) CUMULATIVE CONTRIBUTIONS TO DATE</th>
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SUBTOTALS $ $ $ $ (Enter (e) on Schedule E, Line 3)

Schedule B Summary

1. Loans received this period .......................................................................................................................................... $ ___________
   (Total Column (b) plus unitemized loans of less than $100.)

2. Loans paid or forgiven this period ................................................................................................................................. $ ___________
   (Total Column (c) plus loans under $100 paid or forgiven.)
   (Include loans paid by a third party that are also itemized on Schedule A.)

3. Net change this period. (Subtract Line 2 from Line 1.) ........................................................................................................ NET $ ___________
   (May be a negative number)
   Enter the net here and on the Summary Page, Column A, Line 2.

*Amounts forgiven or paid by another party also must be reported on Schedule A.
** If required.

†Contributor Codes
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OTH – Other (e.g., business entity)
PTY – Political Party
SCC – Small Contributor Committee

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Instructions for
Schedule B – Part 1
Loans Received

All loans received or outstanding are reported on Schedule B. Loans include monetary loans and amounts drawn on lines of credit.

Report loan guarantors on Schedule B – Part 2. A "guarantor" is a third party that co-signs, endorses, or provides security for a loan, or establishes or provides security for a line of credit. A guarantor is also making a contribution.

When a state candidate guarantees a loan from a commercial lending institution in connection with his or her election, both the lending institution and the candidate are required to be disclosed as the lender.

For each loan of $100 or more that was received or was outstanding during the reporting period, disclose the lender's name and address. Report the original source of all loans received. E.g., for a loan from a commercial lending institution for which a candidate is personally liable, report the lending institution as the lender.

Column (a) – Enter the outstanding loan balance at the beginning of this period (Column (d) of last report). If the loan was received this period, this column will be blank.

Column (b) – Enter the amount received from the lender during this reporting period. If this loan was received in a previous reporting period, leave blank.

Column (c) – Enter the amount of any reduction of the loan during this reporting period. Check whether the loan was paid or forgiven. When the lender forgives a loan or a third party makes a payment on a loan, also report the lender or third party on Schedule A.

Column (d) – Enter the outstanding balance of the loan at the close of this reporting period. Enter the due date, if any.

Column (e) – Enter the interest rate and the amount of interest paid on the loan(s) during this reporting period. Interest paid is reported separately from payments made on the loan principal. Interest payments are also transferred to the Schedule E Summary.

Column (f) – Enter the original amount of the loan and date received. If this is the first time you are reporting the loan, this will be the same amount reported in Column (b).

Column (g) – Enter the cumulative amount of contributions (loans, monetary and nonmonetary contributions) received from the lender during the calendar year covered by this statement. Candidates subject to state contribution limits (or if required by local ordinance) must disclose the cumulative amount received from each contributor during the limitation cycle in addition to the calendar year cumulative amount. (Candidates for elective state office should refer to FPPC Campaign Disclosure Manual 1.)

Schedule B Summary:
The Schedule B Summary reflects the "net change" in your loan activity. That is, loan payments made during the period are subtracted from new loans received. When the loan payments number is larger than the amount of new loans received, Line 3 will be a negative figure. For example, if $200 is paid during the period and only $100 is received in new loans, report the net change on Line 3 as "-$100" or "($100)." Be sure to carry this figure to the Summary Page as a negative figure to be subtracted from Summary Page totals.

Additional Important Information:
Refer to the Instructions for Schedule A for important information about:

- Contributor codes
- Contributions from individuals
- Contributions from committees
- Intermediaries

A loan received from a commercial lending institution in the normal course of business is reportable on Schedule B but is not considered a contribution. Contributor codes and cumulative amounts (Column (g)) are required only for loans that are contributions.

Refer to the FPPC Campaign Disclosure Manual for your type of committee for important information about recordkeeping, prohibitions on cash contributions, returning contributions, and more.
## Schedule B – Part 2
### Loan Guarantors

**Amounts may be rounded to whole dollars.**

**Statement covers period**

from __________ through __________

**CALIFORNIA FORM 460**

**I.D. NUMBER**

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<table>
<thead>
<tr>
<th>FULL NAME, STREET ADDRESS AND ZIP CODE OF GUARANTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)</th>
<th>CONTRIBUTOR CODE</th>
<th>IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)</th>
<th>LOAN</th>
<th>AMOUNT GUARANTEED THIS PERIOD</th>
<th>CUMULATIVE TO DATE</th>
<th>BALANCE OUTSTANDING TO DATE</th>
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LENDER

DATE

CALENDAR YEAR

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PER ELECTION (IF REQUIRED)

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LENDER

DATE

CALENDAR YEAR

$ __________

PER ELECTION (IF REQUIRED)

$ __________

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|  | □ SCC |  |  |  |  |  |

LENDER

DATE

CALENDAR YEAR

$ __________

PER ELECTION (IF REQUIRED)

$ __________

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LENDER

DATE

CALENDAR YEAR

$ __________

PER ELECTION (IF REQUIRED)

$ __________

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**SUBTOTAL** $ __________

Enter on Summary Page, Line 17 only.
Instructions for
Schedule B – Part 2
Loan Guarantors

Guarantors of loans received or outstanding during the reporting period are reported on Schedule B – Part 2. A “guarantor” is a third party that co-signs, endorses, or provides security for a loan, or establishes or provides security for a line of credit. A guarantor is also making a contribution.

For each guarantor of $100 or more, enter the name and address of the guarantor and, if the guarantor is an individual, his/her occupation and employer or, if self employed, the name of his/her business.

Enter the name of the lender or the entity at which a line of credit was established and the date of the loan or the date the line of credit was established.

Enter the amount guaranteed this period, if applicable. For lines of credit, enter the full amount established or secured by the guarantor during the period. (Report amounts drawn on a line of credit on Schedule B – Part 1.)

Enter the cumulative amount guaranteed during the calendar year covered by the statement. Candidates subject to state contribution limits (or if required by local ordinance) must disclose the cumulative amount received from each contributor during the limitation cycle in addition to the calendar year cumulative amount. (Candidates for elective state office should refer to FPPC Campaign Disclosure Manual 1.)

Report the outstanding balance for which the guarantor is liable at the close of this reporting period.

Loan guarantees are not included in the Schedule B Summary, but are carried forward in a lump sum to Line 17 of the Summary Page.
## Schedule C
Nonmonetary Contributions Received

Amounts may be rounded to whole dollars.

<table>
<thead>
<tr>
<th>DATE RECEIVED</th>
<th>FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)</th>
<th>CONTRIBUTOR CODE *</th>
<th>IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)</th>
<th>DESCRIPTION OF GOODS OR SERVICES</th>
<th>AMOUNT/FAIR MARKET VALUE</th>
<th>CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31)</th>
<th>PER ELECTION TO DATE (IF REQUIRED)</th>
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Attach additional information on appropriately labeled continuation sheets.

### Schedule C Summary

1. Amount received this period – itemized nonmonetary contributions.
   (Include all Schedule C subtotals.) ............................................................ $

2. Amount received this period – unitemized nonmonetary contributions of less than $100 ............... $

3. Total nonmonetary contributions received this period.
   (Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.) ...................... TOTAL $
Instructions for
Schedule C
Nonmonetary Contributions Received

Report the receipt of nonmonetary contributions on Schedule C.

Nonmonetary contributions include:

- Goods and services for which you have not paid the fair market value, including items donated for auctions or garage sales, such as artwork or furniture.
- A discount that is not available to the public generally.
- Salary payments made by an employer for an employee who spends 10% or more of his or her compensated time in a calendar month working for your committee.

Volunteer personal services and payments voluntarily made by a person for his or her own campaign-related travel expenses are not reportable. The occupant of a home or office can host a fundraiser without making a nonmonetary contribution as long as the total cost of the fundraiser is $500 or less.

If a total of $100 or more is received from a single contributor during a calendar year, report the name, street address, city, state and zip code of the contributor, the amount contributed this period, and the cumulative amount received from the contributor since January 1 of the current calendar year. Include monetary and nonmonetary contributions and loans when reporting the cumulative amount.

Contributions totaling less than $100 received from a single contributor during a calendar year are reported as a lump sum on Line 2 of the Schedule C Summary.

Date Received:
A nonmonetary contribution has been received on the earlier of the following: 1) the date the contributor made an expenditure for goods or services at your behest (in consultation or coordination with you, or at your request or suggestion); or 2) the date you or your agent obtained possession or control of the goods or services.

Per Election to Date:
Candidates subject to state contribution limits (or if required by local ordinance) must disclose the cumulative amount received from each contributor during the limitation cycle in addition to the calendar year cumulative amount. (Candidates for elective state office should refer to FPPC Campaign Disclosure Manual 1.)

Fair Market Value:
The fair market value of a nonmonetary contribution is the amount it would cost to purchase the goods or services on the open market. The fair market value can be more than the amount it cost the contributor to provide the goods or services to you.

If you do not know the value of a nonmonetary contribution, you may request the contributor to provide you with a written statement of the value. If you make a request in writing and the value of the contribution is $100 or more, the contributor is required by law to provide the information.

Administrative Services:
Administrative overhead and start-up expenses paid by a sponsoring organization for its sponsored committee are not contributions to the committee but must be reported on Schedule C. Report the value of the services in the "Description of Goods or Services" column and a zero in the "Amount" and "Cumulative to Date" columns.

Nonmonetary Contributions as Expenditures:
The total of nonmonetary contributions is reported on the Summary Page as both contributions received and expenditures made. Enter the total on Line 3 of the Schedule C Summary on both Lines 4 and 10 of the Summary Page. (State Candidates: Most nonmonetary contributions also count for purposes of the voluntary expenditure limits.)

Additional Important Information:
Refer to the Instructions for Schedule A for important information about:
- Contributor codes
- Contributions from individuals
- Contributions from committees
- Intermediaries

Refer to the FPPC Campaign Disclosure Manual for your type of committee for important information about aggregating monetary and nonmonetary contributions, recordkeeping, and more.
### Schedule D Summary

1. Itemized contributions and independent expenditures made this period. (Include all Schedule D subtotals.) ........................................................ $ ______________

2. Unitemized contributions and independent expenditures made this period of under $100 ................................................................. $ ______________

3. Total contributions and independent expenditures made this period. (Add Lines 1 and 2. Do not enter on the Summary Page.) ........... TOTAL.. $ ______________
Instructions for
Schedule D
Summary of Expenditures Supporting/Opposing Other
Candidates, Measures, and Committees

Schedule D is a summary of payments reported on Schedules E, F, and H that are contributions or independent expenditures to support or oppose candidates and committees. These include:

- A direct monetary contribution or loan made to another candidate or committee.
- A payment made to a vendor for goods or services for a candidate or committee (a nonmonetary contribution).
- A donation to a candidate or committee of goods on hand, or the payment of salary or expenses for a campaign employee who spends 10% or more of his or her compensated time working for another candidate or committee.
- A payment made for a communication (e.g., a mailing, billboard, radio ad) that expressly advocates the election, passage or defeat of a clearly identified candidate or ballot measure, but the payment is not made to—or at the behest of—the candidate or a ballot measure committee. These payments are "independent expenditures" and may trigger additional reports for your committee.

If a total of $100 or more is contributed or expended during a calendar year to support or oppose a single candidate, ballot measure, or a general purpose committee (e.g., a political party), disclose the name of the candidate and the office sought or held and the candidate's district, if any, the number or letter and jurisdiction of the ballot measure, or the name of the general purpose committee. For each candidate or measure listed, indicate whether the payment was made to support or oppose the candidate or measure. For example, if you made a contribution to the Committee Against Measure A, check the "Oppose" box.

Disclose the date(s) and amount(s) of contributions or independent expenditures made this period relative to each candidate, measure, or committee, and the cumulative amount contributed or paid to date relative to the candidate, measure, or committee since January 1 of the current calendar year. Cumulate contributions and independent expenditures separately.

Contributions and expenditures of less than $100 to support or oppose a single candidate or measure during a calendar year are totaled and reported as a lump sum on Line 2 of the Schedule D Summary.

**Per Election to Date:**
If a contribution is made to a candidate that is subject to state contribution limits (or if required by local ordinance), disclose the total amount contributed to the committee in connection with each limitation cycle and identify the election year. The primary and general elections are separate elections. For example, a $4,200 contribution to a candidate for the primary election in 2016 would be disclosed as "$4,200 P-16."

**Description:**
If you contributed goods on hand to another candidate or committee (e.g., office supplies), describe the goods or services in the "Description" column and disclose the fair market value of the contribution. The fair market value is the amount it would cost the recipient to purchase the goods or services. Because payments must be described when they are reported on Schedules E and F, you need not provide a description on Schedule D for payments reported on Schedules E or F that are nonmonetary contributions or independent expenditures.

**Date of Contribution or Expenditure:**
A monetary contribution is made on the date it is mailed, delivered, or otherwise transmitted to the candidate or committee. A nonmonetary contribution is made on the earlier of the following: 1) the date you made an expenditure for goods or services at the behest of the candidate or committee; or 2) the date the candidate or committee obtained possession or control of the goods or services.

**Additional Important Information:**
Refer to the FPPC Campaign Disclosure Manual for your type of committee for important information about recordkeeping, prohibitions on cash payments, restrictions on the use of campaign funds, and more.
<table>
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<th>DATE</th>
<th>NAME OF CANDIDATE, OFFICE, AND DISTRICT, OR MEASURE NUMBER OR LETTER AND JURISDICTION, OR COMMITTEE</th>
<th>TYPE OF PAYMENT</th>
<th>DESCRIPTION (IF REQUIRED)</th>
<th>AMOUNT THIS PERIOD</th>
<th>CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)</th>
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</tr>
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</table>

SUBTOTAL $
Schedule E
Payments Made

Amounts may be rounded to whole dollars.

NAME OF FILER

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

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</tbody>
</table>

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.) ........................................................... $ ..................

2. Unitemized payments made this period of under $100 ........................................................... $ ..................

3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) ........................................................... $ ..................

4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) .......................... $ ..................
Instructions for
Schedule E
Payments Made

Report payments on Schedule E (other than loans).
For each payment of $100 or more made during the period, report the name and street address,
city, state, and zip code of the payee or creditor, and the amount paid during the period. Payments of
less than $100 during the period are reported as a lump sum on Line 2 of the Schedule E Summary.
However, if two or more payments under $100 were made for a single product or service and the
total paid during the period was $100 or more, itemize the total amount paid during the period.

Report payments made on accrued expenses. Also report the required information on
Schedule F.

Code or Description of Payment:
If one of the codes listed on Schedule E fully describes the payment, enter the code. A full
description of each code is provided on the back of the Schedule E-Continuation Sheet. If none of the
codes fully explains the payment, leave the “Code” column blank and enter a brief description of the
goods or services purchased in the “Description of Payment” column.

Credit Card Payments:
Disclose the name, address, and amount paid to the credit card company during the period. Also
disclose the name, address, amount paid, and code or description of payment for each vendor paid $100 or more. You may disclose the vendor payments on Schedule E or Schedule G.

Payments by Agents and Independent Contractors:
When an agent or independent contractor (e.g.,
campaign worker, advertising agency, campaign
management firm) makes payments on your behalf (“subvendor payments”), disclose the name,
address, amount paid, and code or description of payment for each vendor paid $500 or more.
Disclose payments to the agent or independent contractor on Schedule E. You may disclose the
subvendor payments on Schedule E or Schedule G.

Loans:
Report interest paid on loans received on Line 3 of
the Schedule E Summary (from Schedule B, Part
1, Column (e)).
Report payments made on loans received on
Schedule B and loans made to others on Schedule
H. Do not report on Schedule E.

Savings Accounts/Certificates of
Deposit/Money Market Accounts:
Do not report transfers of campaign funds into savings accounts, certificates of deposit, money market accounts, or the purchase of any other asset that can readily be converted to cash on Schedule E. Continue reporting these amounts as part of your cash on hand on the Summary Page.

Candidates:
• Candidates must briefly describe the political,
  legislative, or governmental purpose of an
  itemized expenditure for gifts, meals, and travel
  payments. FPPC Regulation 18421.7 sets out
  the requirements.
• Candidate controlled ballot measure committee
  funds may only be used to make payments
  related to a state or local measure or potential
  measure (including qualification activities)
  anticipated by the committee. See FPPC
  regulation 18521.5.

Ballot Measure Committees
A ballot measure committee that makes a payment to any business entity (1) which is owned 50
percent or more by any of the individuals listed
below, or (2) in which any of the individuals listed
below is an officer, partner, consultant or employee,
must report that individual’s name, relationship to
the committee, and a description of the ownership
interest or position with the business entity.
Individuals covered by (1) and (2) above include:
  -- A candidate or person controlling the
    committee; or
  -- An officer or employee of the committee; or
  -- The spouse of any of the above.
### Schedule E
(Continuation Sheet)
**Payments Made**

Names may be rounded to whole dollars.

<table>
<thead>
<tr>
<th>Statement covers period from</th>
<th>through</th>
<th>CALIFORNIA FORM 460</th>
<th>Page of</th>
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**NAME AND ADDRESS OF PAYEE**

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* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL $**
Instructions for Schedule E (Continued)
Payments Made

Codes:

CMP: Campaign paraphernalia/misc. Lawn signs, buttons, bumper stickers, T-shirts, potholders, etc. Includes costs of election night event.

CNS: Campaign consultants. Fees and commissions paid to professional campaign management or consulting firms.

CTB: Contributions. Contributions made to other candidates and committees. Use “CTB” for direct monetary contributions. For nonmonetary (in-kind) contributions, use “CTB” and, if one of the other codes accurately describes the expenditure, you may enter that code also. Otherwise, describe the payment. Also provide the name of the candidate or ballot measure supported or opposed by the expenditure.*

LEG: Legal Defense. Attorney or other fees paid for legal defense.

LIT: Campaign literature and mailings. Preparation, production, and distribution of campaign literature, direct mail pieces, fundraising solicitations, and door hangers. Includes costs of mailing lists, design/graphics, copy and layout, printing and photocopying. Includes payments to be on a slate mailer, and for absentee ballot mailers.

MBR: Member Communications. Payments for communications to members, employees, or shareholders of an organization, or their family members, for the purpose of supporting or opposing a candidate or ballot measure.

MTG: Meetings and appearances. Costs associated with meetings, press conferences, town halls, constituent meetings, etc.

OFC: Office expenses. Expenditures for office rent; utilities (including cellular phone service); purchase or rental of office equipment (computer, fax, photocopier, etc.) and furniture; office supplies, etc.

PET: Petition circulating. Includes payments for printing petitions and payments to signature gathering firms for ballot measure qualification drives.

PHO: Phone banks. Costs of phone banks.

POL: Polling and survey research. Costs of designing and conducting polls, reports on election trends, voter surveys, etc.

POS: Postage, delivery and messenger services. Includes U.S. Postal Service, Federal Express, United Parcel Service, and other delivery and courier services.

PRO: Professional services. Includes legal, accounting, and bookkeeping services.

PRT: Print space and production costs. Includes advertising space in newspapers, magazines and other publications, and billboard ads.

RAD: Radio airtime and production costs.

RFD: Returned contributions.

SAL: Campaign workers salaries. Includes state and federal payroll taxes.

TEL: Television or cable airtime and video production costs.

TRC: Candidate travel. Payments or reimbursements for travel, lodging, and meals of a candidate.

TRS: Staff/spouse travel. Payments or reimbursements for travel, lodging, and meals of a candidate’s representative (staff), or member of the candidate’s household.

TSF: Transfers. Only use this code to report the transfer of funds to another authorized committee of the same candidate or sponsoring organization. Report funds this committee gives to other committees on Schedule E, as contributions (“CTB”) to those committees, not as transfers.

VOT: Voter registration costs.

WEB: Information technology costs. Includes payments for website design, e-mail, internet access, production of website and e-mail advertising.

*Payments that are contributions or independent expenditures to support or oppose other candidates, measures, and committees must also be summarized on Schedule D.
**Schedule F**

**Accrued Expenses (Unpaid Bills)**

Amounts may be rounded to whole dollars.

Statement covers period from ___________ through ___________.

Page ______ of ______

<table>
<thead>
<tr>
<th>NAME OF FILER</th>
</tr>
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</table>

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

1. **Total accrued expenses incurred this period.** (Include all Schedule F, Column (b) subtotals for accrued expenses of $100 or more, plus total unitemized accrued expenses under $100.) \(\text{INCURRED TOTALS} \) $ ___________

2. **Total accrued expenses paid this period.** (Include all Schedule F, Column (c) subtotals for payments on accrued expenses of $100 or more, plus total unitemized payments on accrued expenses under $100.) \(\text{PAID TOTALS} \) $ ___________

3. **Net change this period.** (Subtract Line 2 from Line 1. Enter the difference here and on the Summary Page, Column A, Line 9.) \(\text{NET} \) $ ___________

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* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTALS $ ___________  $ ___________  $ ___________  $ ___________

**FPPC Form 460 (Jan/2016)**

FPPC Advice: advice@fppc.ca.gov (866/275-3772)

www.fppc.ca.gov

February 20, 2018
Instructions for Schedule F
Accrued Expenses (Unpaid Bills)

Report unpaid bills for goods or services on Schedule F.
If the amount owed to a single vendor is $100 or more at the end of the reporting period, you must disclose the name and street address, city, state, and zip code of the payee or creditor and the amount incurred during the period that is outstanding at the end of the period (Column (b)). Continue reporting the accrued expense on each subsequent campaign statement until it is paid.

You are not required to report on Schedule F regular administrative overhead expenses, such as rent, utilities, phones, or employee salaries if you have not received a bill in the normal course of business or if the due date for the payment is after the closing date of the statement.

If you do not know the exact amount of a debt or obligation, provide an estimate. Once the exact amount is known, amend the estimated amount or note the correct amount on the next campaign statement.

Unpaid bills of less than $100 at the end of the reporting period are added together and included in the total reported on Line 1 of the Schedule F Summary.

When accrued expenses are paid, the payments are reported on Schedule E. Also report the payment on Schedule F, Column (c).

Code or Description of Payment:
If one of the expenditure codes listed on Schedule F fully describes the payment, enter the code. A full description of each code is provided on the back of the Schedule E Continuation Sheet. If none of the codes fully explains the expenditure, enter a brief description of the goods or services instead.

There are special instructions on the back of the Schedule E Continuation Sheet for coding and describing nonmonetary contributions and independent expenditures to support/oppose other candidates, committees, and ballot measures.

Accrued expenses that are nonmonetary contributions and independent expenditures must also be summarized on Schedule D when incurred.

Credit Card Payments:
Disclose the name, address, and amount owed or paid to the credit card company during the period. Also disclose the name, address, amount paid, and code or description of payment for each vendor paid $100 or more. You may disclose the vendor payments on Schedule F or Schedule G.

Payments by Agents and Independent Contractors:
When an agent or independent contractor (e.g., campaign worker, advertising agency, campaign management firm) makes payments on your behalf (“subvendor payments”), disclose the name, address, amount paid, and code or description of payment for each vendor paid $500 or more. Disclose amounts owed to the agent or independent contractor on Schedule F. You may disclose the subvendor payments on Schedule F or Schedule G.

Note: It is not necessary to reitemize credit card vendors or agent subvendors on Schedule F or G when payments are made on accrued expenses, or if an accrued expense is itemized on more than one statement.

Forgiveness or Third Party Payment of an Accrued Expense:
If a creditor forgives or reduces an outstanding debt, or a third party pays a debt for you, report the transaction as follows:

• In the “Description of Payment” column, state that the debt was forgiven, reduced, or paid by a third party.
• Report the amount forgiven, reduced, or paid by a third party as a negative figure in the “Amount Incurred This Period” column (Column (b)).
• Report a nonmonetary contribution from the creditor or third party on Schedule C.

Do not report the forgiveness, reduction, or third party payment on Schedule E.

Refer to the FPPC Campaign Disclosure Manual for your type of committee for important information about recordkeeping, cash expenditures, permissible uses of campaign funds, and more.
## Schedule F
(Continuation Sheet)
Accrued Expenses (Unpaid Bills)

Amounts may be rounded to whole dollars.

### Statement covers period
from _____________ through _____________

CALIFORNIA FORM 460
Page _______ of _______

<table>
<thead>
<tr>
<th>CODE OR DESCRIPTION OF PAYMENT</th>
<th>NAME AND ADDRESS OF CREDITOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)</th>
<th>(a) OUTSTANDING BALANCE BEGINNING OF THIS PERIOD</th>
<th>(b) AMOUNT INCURRED THIS PERIOD</th>
<th>(c) AMOUNT PAID THIS PERIOD (ALSO REPORT ON E)</th>
<th>(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD</th>
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**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- **CMP** campaign paraphernalia/misc.
- **CNS** campaign consultants
- **CTB** contribution (explain nonmonetary)*
- **CVC** civic donations
- **FIL** candidate filing/ballot fees
- **FND** fundraising events
- **IND** independent expenditure supporting/opposing others (explain)*
- **LEG** legal defense
- **LIT** campaign literature and mailings
- **MBR** member communications
- **MTG** meetings and appearances
- **OFC** office expenses
- **PET** petition circulating
- **PHO** phone banks
- **POL** polling and survey research
- **POS** postage, delivery and messenger services
- **PRO** professional services (legal, accounting)
- **PRT** print ads
- **RAD** radio airtime and production costs
- **RFD** returned contributions
- **SAL** campaign workers’ salaries
- **TEL** t.v. or cable airtime and production costs
- **TRC** candidate travel, lodging, and meals
- **TRS** staff/spouse travel, lodging, and meals
- **TSF** transfer between committees of the same candidate/sponsor
- **VOT** voter registration
- **WEB** information technology costs (internet, e-mail)

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

### SUBTOTALS

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### Codes:

**FPPC Form 460 (Jan/2016)**

FPPC Advice: advice@fppc.ca.gov (866/275-3772)

www.fppc.ca.gov

February 20, 2018
## Schedule G

**Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)**

Amounts may be rounded to whole dollars.

### Statement covers period
- From __________
- Through __________

### CODES:
- If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

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<tr>
<td>IND</td>
<td>independent expenditure supporting/opposing others (explain)*</td>
</tr>
<tr>
<td>LEG</td>
<td>legal defense</td>
</tr>
<tr>
<td>LIT</td>
<td>campaign literature and mailings</td>
</tr>
</tbody>
</table>

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

### NAME AND ADDRESS OF PAYEE OR CREDITOR
(IF COMMITTEE, ALSO ENTER I.D. NUMBER)

<table>
<thead>
<tr>
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<table>
<thead>
<tr>
<th>CODE OR DESCRIPTION OF PAYMENT</th>
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<table>
<thead>
<tr>
<th>AMOUNT PAID</th>
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Attach additional information on appropriately labeled continuation sheets.

TOTAL* $ __________

* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.
Instructions for
Schedule G
Payments Made by an Agent or
Independent Contractor

Report payments made on your behalf during the reporting period by an agent or independent contractor (such as a campaign management firm or an advertising agency) on Schedule G.

Schedule G may be completed by the agent or independent contractor and provided to you or Schedule G may be completed by you from information provided by the agent or independent contractor.

Report expenditures of $500 or more (other than expenditures for the agent’s or independent contractor’s overhead and normal operating expenses) made on your behalf during the reporting period.

Once a subvendor payment has been itemized on Schedule E, F, or G, it does not need to be itemized again. For example, if a subvendor payment is reported on Schedule F or G as part of an accrued expense, the subvendor information does not need to be reported again on subsequent reports.

Code or Description of Payment:
If one of the expenditure codes listed on Schedule G fully describes the payment, enter the code. A full description of each code is provided on the back of the Schedule E Continuation Sheet. If none of the codes fully explains the expenditure, enter a brief description of the payment instead.

Important: Officeholders and candidates may reimburse an agent or independent contractor for expenditures made on their behalf only if all of the following criteria are met:

• There is a written contract between the officeholder or candidate and the agent or independent contractor that provides for the reimbursement;

• The treasurer is provided with a dated receipt and written description of each expenditure prior to reimbursement; and

• Reimbursement is paid within 45 calendar days after the agent or independent contractor makes the expenditures.

Generally, if reimbursement is not paid within 45 calendar days, report the expenditure as a nonmonetary contribution on Schedule C.

Refer to the FPPC Campaign Disclosure Manual for your type of committee for additional instructions.
**Schedule H**  
*Loans Made to Others*

**SEE INSTRUCTIONS ON REVERSE**

**NAME OF FILER**

---

**Amounts may be rounded to whole dollars.**

**Statement covers period from __________ through __________.**

**Page _____ of _____**

<table>
<thead>
<tr>
<th>FULL NAME, STREET ADDRESS AND ZIP CODE OF RECIPIENT <em>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)</em></th>
<th>IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER <em>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)</em></th>
<th>(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD</th>
<th>(b) AMOUNT LOANED THIS PERIOD</th>
<th>(c) REPAYMENT OR FORGIVENESS THIS PERIOD*</th>
<th>(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD</th>
<th>(e) INTEREST RECEIVED</th>
<th>(f) ORIGINAL AMOUNT OF LOAN</th>
<th>(g) CUMULATIVE LOANS TO DATE</th>
</tr>
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*Loans that are contributions to another candidate or committee must also be summarized on Schedule D. Loans forgiven must also be reported on Schedule E.*

---

**Schedule H Summary**

1. Loans made this period ................................................................. $___________  
   (Total Column (b) plus unitemized loans of less than $100.)  
   **If Required**

2. Payments received on loans .......................................................... $___________  
   (Total Column (c) plus unitemized payments of less than $100.)

3. Net change this period. *(Subtract Line 2 from Line 1.)* .................... NET $___________  
   (Enter the net here and on the Summary Page, Column A, Line 7.)  
   *(May be a negative number)*
Instructions for Schedule H
Loans Made to Others

All loans made or outstanding are reported on Schedule H.

Generally, campaign funds may be used to make loans to other candidates, officeholders, or committees (unless otherwise prohibited) and to bona fide charitable, educational, civic, religious, or similar tax-exempt nonprofit organizations. There are restrictions on loans to any other person, including a candidate who controls the committee, or to a nonprofit organization that is affiliated with a candidate, the treasurer, or other committee officials.

For each loan of $100 or more that was made or was outstanding during the reporting period, disclose the recipient’s name and address and, if an individual, his/her occupation and employer or, if self-employed, the name of the business.

Column (a) – Enter the outstanding loan balance at the beginning of this period (column (d) of last report.) If the loan was made this period, this column will be blank.

Column (b) – Enter the amount loaned to the recipient during this reporting period. If this loan was made in a previous reporting period, leave blank.

Column (c) – Enter the amount of any reduction of the loan during this reporting period. Check whether the loan was paid or forgiven. If the committee forgives a loan, also report the transaction on Schedule E.

Column (d) – Enter the outstanding balance of the loan(s) at the close of this reporting period. Enter the due date, if any.

Column (e) – Enter the interest rate and amount of interest received on the loan(s) during this reporting period. Interest received is reported separately from payments received on the loan principal. Interest payments are also transferred to the Schedule I Summary.

Column (f) – Enter the original amount of the loan and date made. If this is the first time you are reporting the loan, this will be the same amount reported in Column (b).

Column (g) – For each loan made during this reporting period that is a contribution,* enter the cumulative amount of contributions (loans, monetary and nonmonetary contributions) made to the recipient during the calendar year covered by the statement. If the recipient is a candidate subject to state contribution limits, or the information is required by local ordinance, also enter the total amount contributed to the candidate in connection with each limitation cycle and identify the election year. (For contributions to state candidates, see the Schedule D instructions.)

Schedule H Summary:
The Schedule H Summary reflects the “net change” in the committee’s loan activity. That is, repayments received are subtracted from new loans made. When the repayment number is larger than the amount of the new loans made, Line 3 will be a negative figure. For example, if $200 is received by the committee during the period and only $100 is made in new loans, report the net change on Line 3 as “-$100” or “($100).” Be sure to carry this figure to the Summary Page as a negative figure to be subtracted from Summary Page totals.

Refer to the FPPC Campaign Disclosure Manual for your type of committee for important information about recordkeeping, prohibitions on cash contributions, loan restrictions, and more.

*Loans that are contributions to candidates or other committees must also be reported on Schedule D.
### Schedule I Summary

1. Itemized increases to cash this period. ................................................................. $ ____________
2. Unitemized increases to cash of under $100 this period. ................................................................. $ ____________
3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).) ................................................................. $ ____________
4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.) ................................................................. $ ____________

---

**Attach additional information on appropriately labeled continuation sheets.**

**SUBTOTAL $**
Instructions for
Schedule I
Miscellaneous Increases to Cash

Report any transaction that increases the cash position of the officeholder, candidate, or committee, but is not a monetary contribution, loan, or loan repayment, on Schedule I.

Itemize the sources of $100 or more received during the reporting period.

Examples include:

• Interest received or credited to checking or savings accounts or other time deposits.

• Proceeds from the sale of property, such as paintings, furniture, or other items sold at garage sales or auctions, etc., when the amount received is the “fair market value” of the item. Amounts received over the fair market value are reported on Schedule A. (Report donated items as nonmonetary contributions on Schedule C.)

• Proceeds from the sale of campaign property, such as office furniture or equipment.

• Refunds received on deposits, such as telephone deposits.

• Transfers received from another authorized committee of the same candidate. (Candidates for elective state office should refer to FPPC Campaign Disclosure Manual 1 for information about reporting transferred funds that must be attributed to specific contributors of the committee making the transfer.)

Report on Line 3 of the Schedule I Summary the lump sum of interest payments received on loans made to others. Do not itemize. This amount is transferred from Schedule H, Column (g).
Independent Expenditure Communication Notification
CEC Form 57

Filer Information
☐ Original filing
☐ Amended filing (original filed on______)
NAME OF FILER (Committee name, if applicable) __________________________ ID NUMBER (for committees) __________
STREET ADDRESS ______________________________________________________
CITY, STATE, ZIP CODE __________________________ PHONE NUMBER __________
E-MAIL ______________________________________________________________

Communication Information
A separate form is required for each communication. If the communication supports or opposes more than one candidate or ballot measure, separate forms must also be filed for each candidate or measure, and the spending amounts reported on page 2 of this form must be apportioned among them.
Purpose: ☐ Support or ☐ Oppose the following City candidate or ballot measure:
____________________________________________________________________
Type of communication: ☐ Mailing ☐ Telephone ☐ Radio ☐ Television
☐ Other: __________________________
Date first distributed: _______________ Number of distributions: _______________

A copy of the communication must be attached to this form. Los Angeles Municipal Code § 49.7.31(C)(2) specifies the types of copies that must be filed. If the communication is not available when this form must be filed, the form must be amended to include the communication within one business day after the communication becomes available.

Certification
I certify under penalty of perjury under the laws of the City of Los Angeles and the state of California that I am the filer or an agent of the filer, that the communication listed above was not behested by a candidate who benefitted from it, and that all information reported through this form is true and complete.

_________________________________________ Filer/Agent Signature: __________________________
Date

_________________________________________ Filer/Agent Name (please print): __________________________

_________________________________________ Treasurer Signature (for committees): __________________________
Date

_________________________________________ Treasurer Name (please print): __________________________

City Ethics Commission
200 N Spring Street
City Hall — 24th Floor
Los Angeles, CA 90012
Mail Stop 129
(213) 978-1960

February 20, 2018

Ethics Commission
1 of 4

Los Angeles Municipal Code § 49.7.31(C)
Item 7--Attachment I

Page 1 of 4

November 2012
Spending Information

In the spaces below, identify the amount of spending per payee that was made or incurred for the candidate or ballot measure identified on page 1. Use additional sheets or boxes if necessary.

### DATE (made or incurred) | AMOUNT (made or incurred) | PAYEE (name and address)
--- | --- | ---

**PAYEE SERVICES** (all services provided by payee for reported amount)

**PAYEE VENDORS** (name and address of each vendor used by payee for reported amount)

1. 
2. 
3. 

---

**PAYEE SERVICES** (all services provided by payee for reported amount)

**PAYEE VENDORS** (name and address of each vendor used by payee for reported amount)

1. 
2. 
3. 

---

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1. 
2. 
3. 

---
Ethics Commission

City Hall — 24th Floor
Los Angeles, CA 90012
Mall Stop 129
(213) 978-1960

Independent Expenditure Communication Notification
CEC Form 57

Filer Information

NAME OF FILER (Committee name, if applicable)  ID NUMBER (for committees)

CONTRIBUTIONS MADE

Persons making independent expenditure communications to support or oppose City candidates or ballot measures are required to disclose all contributions of $100 or more that they made to any City candidate or City committee in the current calendar year. Please check one of the following boxes:

- [ ] I did not make any reportable contributions.
- [ ] I made the following reportable contributions (use additional sheets if necessary):

<table>
<thead>
<tr>
<th>CANDIDATE OR COMMITTEE NAME</th>
<th>DATE CONTRIBUTED</th>
<th>AMOUNT CONTRIBUTED</th>
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For candidates, identify office sought (including district number, if applicable): ______________________________________________________________ |

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For candidates, identify office sought (including district number, if applicable): ______________________________________________________________ |
Filer Information

NAME OF FILER (Committee name, if applicable)  ID NUMBER (for committees)

CONTRIBUTIONS RECEIVED

Committees must report information about contributions of $100 or more that they received since the later of the last campaign statement they filed or the first day of the calendar year. This does not apply to contributions earmarked for non-City purposes. Please check one of the following boxes:

- I am not a committee.
- I did not receive any reportable contributions.
- I received the following reportable contributions (use additional sheets if necessary):

<table>
<thead>
<tr>
<th>CONTRIBUTOR’S FULL NAME</th>
<th>DATE RECEIVED</th>
<th>AMOUNT RECEIVED</th>
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</thead>
<tbody>
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CONTRIBUTOR’S ADDRESS: ______________________________________________________

CONTRIBUTOR’S EMPLOYER / OCCUPATION: _______________________________________

<table>
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