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***Item 10***  
**Information**

***Quarterly Lobbying Summary***

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**Executive Summary:** This item presents a summary of activities reported to the Ethics Commission by lobbying entities for the third quarter of 2018.

**Recommended Action:** This item is for informational purposes only.

**Authors:** Heather Holt, Executive Director  
Mark Low, Lobbying Program Manager

**Presenter:** Mark Low, Lobbying Program Manager

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# *Lobbying Summary: 3rd Quarter 2018*

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## **A. Introduction**

The Municipal Lobbying Ordinance (MLO) regulates persons who receive compensation to lobby City officials. Los Angeles Municipal Code (LAMC) §§ 48.01, *et seq.* In LAMC § 48.01(B), the MLO makes several findings regarding lobbying activity, including the following:

1. Citizens have a right to know the identities of those who attempt to influence City decisions;
2. Public disclosure by lobbyists and their clients regarding their lobbying activities is essential to citizen confidence in the integrity of local government;
3. It is in the public interest to ensure that lobbyists do not misrepresent facts or their positions;
4. It is in the public interest to ensure that lobbyists do not place City officials under personal obligation to themselves or their clients.

To help ensure adequate and effective disclosure regarding efforts to lobby City government, lobbying entities are required to file quarterly disclosure reports. *See* LAMC §§ 48.01(B)(6), 48.08. This item summarizes information disclosed on the lobbying reports filed for the third quarter of 2018.

## **B. Legal Background**

### 1. Definitions

The MLO defines lobbying activity generally as compensated conduct related to communications with City officials that are designed to influence municipal matters. LAMC § 48.02. The lobbying entities that are regulated by the MLO include lobbyists, lobbying firms, and lobbyist employers.

A lobbyist is an individual, regardless of title, who is compensated to spend 30 or more hours in a three-month period to engage in lobbying activities that include at least one direct communication with a City official or employee that is designed to influence a City matter on behalf of another person. *Id.* A lobbying firm is an entity that is entitled to receive \$1,000 in compensation for engaging in lobbying activities on behalf of another person during a three-month period, if a partner, owner, shareholder, or employee of the entity qualifies as a lobbyist. *Id.* A lobbying firm may be an individual lobbyist. Finally, a lobbyist employer is an entity that employs a lobbyist in-house to lobby on the entity's behalf. *Id.*

Certain persons are exempt from the lobbying regulations. These include individuals acting in an official government capacity, newspapers and other media outlets that publish editorials or paid advertising, persons whose only activity is bidding on a City contract, and 501(c)(3) organizations that receive government funding and are created to provide direct services to indigent persons. LAMC § 48.03.

## 2. Registration and Reporting

Lobbyists and lobbying firms are required to register with the Ethics Commission on an annual basis. LAMC §§ 48.07(A)-(B). Registration is required within 10 days after the end of the month in which the qualification threshold is met. LAMC § 48.07(A). The fees for each year's registration are \$450 per lobbyist plus \$75 for each client from whom the lobbyist is entitled to receive \$250 or more in a calendar quarter. LAMC § 48.07(C).

Each lobbying entity is required to file a disclosure report for every calendar quarter in which it qualifies as a lobbying entity. LAMC § 48.08(A)(1). Lobbying entities must continue to submit quarterly reports until registration is terminated, either voluntarily or automatically by virtue of the end of the calendar year. The disclosure reports must contain specific information, including the following:

- a. Each City agency that a lobbyist attempted to influence. LAMC §§ 48.08(B)(12), 48.08(C)(14).
- b. Each municipal matter that a lobbyist employer attempted to influence. LAMC § 48.08(D)(6).
- c. Total payments from clients to lobbying firms. LAMC § 48.08(C)(4).
- d. Total payments to lobbyists by lobbying firms and lobbyist employers. LAMC §§ 48.08(C)(7)(a), 48.08(D)(3).
- e. The elected City officials, candidates, and committees for which a lobbyist engaged in fundraising activity, as well as the dates of the activity and the amount of money raised. LAMC §§ 48.08(B)(7), 48.08(C)(9), 48.08(D)(10).
- f. Services provided by a lobbyist to a City candidate or ballot measure campaign or to the City under contract, including the amount of compensation received for those services. LAMC §§ 48.08(B)(10)-(11), 48.08(C)(12)-(13).

## 3. Prohibited Activities

Lobbying entities are prohibited from engaging in certain types of activity. They may not attempt to deceive City officials. LAMC §§ 48.04(B), (D). They may not place City officials under personal obligation to them. LAMC § 48.04(A). They may not create a municipal matter for the purpose of being retained to lobby on that matter. LAMC § 48.04(C).

Lobbyists and lobbying firms are also limited in certain financial activities. They may engage in fundraising, but they may not make campaign contributions to elected City officials, candidates, or their committees if they are required to be registered to lobby the office the official or candidate holds or seeks. Los Angeles City Charter § 470(c)(11). And they may not make or act as an intermediary in the making of a gift to a City official. LAMC §§ 48.04(E), 49.5.8(A)-(C).

### **C. Third Quarter Compliance**

In the third quarter of 2018, there were 438 registered lobbyists and 131 registered lobbying firms, including 48 sole proprietorships. In addition, there were 80 lobbyist employers.

These 649 lobbying entities were required to file 601 disclosure reports for the third quarter (the 48 sole-proprietor lobbyists were required to file a lobbying firm report but not a lobbyist report). A total of 598 reports (99.5 percent) were filed on time. Penalties totaling \$75 were collected in connection with reports filed past the October 31, 2018 deadline. All required reports have now been filed. The tables in this report detail and summarize information that was disclosed through all reports filed for the quarter.

### **D. Top 10 Highest Paid Lobbying Firms**

Lobbying firms are required to report the total amount of payments they receive each quarter from the clients they represent. A total of \$17,189,563 in payments from clients was reported by all lobbying firms for the third quarter of 2018.

The ten firms that reported the highest total payments from clients are identified in the table on the following pages. Each of the top ten firms reported receiving at least \$430,000 from clients in the third quarter. Together, the ten firms reported receiving a total of \$8,955,868, which represents 52.1 percent of all payments from clients reported by all lobbying firms for the third quarter of 2018.

**TOP 10 HIGHEST PAID LOBBYING FIRMS**

*Based on Client Payments Reported as Received In 2018 Q3*

Rank & Payments	Firm & Clients		
1. \$2,007,090	<p><b>DLA Piper LLP (US)</b></p> <p>Aedis Real Estate Arc Capital Partners LLC Atlas Capital Group, LLC Barlow Respiratory Hospital Bastion Development Corporation Boulevard Nightlife Capital Foresight Career Lofts - LA, LLC Champion Real Estate Company City Wild Posting, LLC CityView CorePoint Lodging Inc. Crescent Heights Crossroads Associates LLC Crown Group Holdings Pty Ltd CV 1800 Beverly, LLC Deep Green Housing &amp; Community Development Forest City Residential West, Inc. Gerald W. - Vic Inn-Ternational</p> <p>Greenland LA Metropolis Development II LLC Harridge - 5825 W Sunset, LLC (The Met)Harridge Development Group, LLC Hayato Restaurant Hudson Pacific Jade Enterprises, LLC Jamison Properties, LP Joseph's Cafe Ladder Capital Finance LLC Lawn School LLC Los Angeles Streetcar, Inc. Lowe Enterprises Real Estate Group, Inc. Maguire Properties - 755 S. Figueroa, LLC Manufactory LA LLC Meltzer, Lippe, Goldstein &amp; Breitstone, LLP Meta Housing Corporation Metspresso LLC MREC HD Blake Street Nationwide RP Corporation Oxford Capital Group Paramount Coffee Project, Inc.</p> <p>Prime/CRDF Mission Hills, LLC Providence Holy Cross Medical Center Realm Group LLC Rehrig Pacific Company Relevant Group LLC RWBP Highland, LP Ryda Ventures LLC Shappell Liberty Investment Properties, LLC Skid Row Housing Trust Summer Land Partners Group, Inc. Tailor Lofts, LLC Taite, Richard TF Broadway Partnership TF Shatto Partnership Tierra Nostra Trust Universal Standard Housing LLC Urban Offerings Valley Canoga Properties LTD Vivian, Marcos Watermark Westwood Village LLC WP West Development Enterprises</p>		
2. \$1,278,100	<p><b>Ek, Sunkin, Klink &amp; Bai</b></p> <p>3800 West 6th Street, LLC AECOM All United LLC American Beverage Association Bird BMG Money Boos Development Capri Urban Development CBS Group City Century</p> <p>Clear Channel Competitor Group FEDEX Jamison Properties Jia Long Real Estate Development Johnson Development LA Mart LG Vinland Storage McCourt Global Microsoft Corporation Motion Picture Association of America</p> <p>NFI Industries, Inc. Public Storage Republic Services Souther California Gas Company Spectrum Group Real Estate Sugarfish Tribune Real Estate Holdings True Science Labrotories Valero VHDG Koreatown LLC Walter J Company Westside Campus Owner, LLC</p>		

**TOP 10 HIGHEST PAID LOBBYING FIRMS (cont'd)**

*Based on Client Payments Reported as Received In 2018 Q3*

Rank & Payments	Firm & Clients		
3. \$1,041,803	<b>Englander Knabe and Allen</b>		
	202 Main, LLC 9712 Oak Pass Road, LLC Accela, Inc. Advocates for Fairness in Transportation Airport Terminal Services, Inc. Alternative Medicine Group, Inc. American Golf Corporation American Wood Council Anthem, Inc. AT&T Enterprise Services (AT & T and its affiliates) Avaya, Inc. Avisare Corporation Bel Air Cliff Property Holding Inc. BLH Construction Co. Blue Label Construction BNSF Railway Company Boingo Wireless, Inc. BYD CA, Inc. California Trucking Association Calmet Corp. CHA Health Systems, Inc. Cheung, Rose Chronicpractor Caregiver, Inc. dba Exhale Med Center CJM Investments, Inc. Clark Construction Group - California, LP Clay Lacy Aviation Coca Cola Bottling USA Colman, Robert	CONRAC Cornerstone Research Collective Crews of California, Inc. Cruz Verde, Inc. dba Green Cross of Torrance Curson Capital DC Collective Deloitte Consulting LLP Delta 9 THC Devlin Land Group Enterprise Rent-A-Car Company of Los Angeles Gaon, Steven Gilmore Associates Granada Hills Discount Pharmacy Green Earth Vitality dba Green Earth Collective GSW Creative Corporation dba dosist Hillcrest Beverly Oil Corporation Holistic Pain Relief IMPEX Technologies, Inc. Katchko, Vitiello, Karikomi, PC on behalf of their client Boo-Ku CC Katchko, Vitiello, Karikomi, PC on behalf of their client Canary - Little Cottage Katchko, Vitiello, Karikomi, PC on behalf of their client Gourmet Green Room Katchko, Vitiello, Karikomi, PC on behalf of their client LA Wellness Center Katchko, Vitiello, Karikomi, PC on behalf of their client Patient's Corp.	Katchko, Vitiello, Karikomi, PC on behalf of their client Purple Heart Compassion Mobilite Management LLC Motorola, Inc. Muskrat, LLC Nascent I, LLC NikeKatchko, Vitiello, Karikomi, PC on behalf of their client Wellness Earth Energy Knoll Agency, Inc. La Terra Development LLC Levy Affiliated Holdings Living Earth Wellness Center Medical Caregiver Co-op Melrose Herbal Collective Katchko, Vitiello, Karikomi, PC on behalf of their client Resource Referral Svc. dba GVC Katchko, Vitiello, Karikomi, PC on behalf of their client Southern California Collective Katchko, Vitiello, Karikomi, PC on behalf of their client Universal Collective Official Police Garage Assn of LA One Concern, Inc. Onni Contracting (CA) Inc. Organic Green Treatment Center, Inc. Pacific Peak Investment Real Estate Group, Inc. Pattern Energy Group Inc ("Patten Energy") and Pattern Energy Group 2 LP ("Pattern Development") Poppy Properties, Inc. Private Line Service, Inc. Quick Silver Towing, Inc. Roobik Khanlari Rose Cafe and Market, Inc.

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*Based on Client Payments Reported as Received In 2018 Q3*

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**TOP 10 HIGHEST PAID LOBBYING FIRMS (cont'd)**

*Based on Client Payments Reported as Received In 2018 Q3*

Rank & Payments	Firm & Clients
8. \$518,156	<p><b>Glaser, Weil, Fink, Howard, Avchen &amp; Shapiro, LLP</b></p> <p>3568 Motor LLC Economic Resources NSB/Richlar Partnership            4141 Santa Monica Corporation Orangedale LLC            TPIV LLC Enwave Energy Corporation Paramount Contractors &amp;            544 Pacific Investment, Expo Line Owner, LLC Developers            LLC Fidelity National Title Park View Management Group            6161 Mulholland Hwy Insurance Company Inc.            LLC Fogel Real Estate Peter &amp; Sarah Mandell            AK Lofts 5 LLC HLC-LP Oakwood, LLC Shaaya, Sammi            AltaSea at the Port of IKE Smart City Shapiro, Joel and Lori            Los Angeles Kermath, Karen Skyhigh Murals – Colossal Media            Andrew Walter &amp; KG Capital Holdings Inc Inc.            Carmen Carpenter Linear City Development, The Taubman Company            Blatteis &amp; Schnur LLC University of Southern California            BLDG Partners LLC Lion Boylston, LLC Van Ness Partners I, LLC            Borstein Enterprises Los Angeles Police Protective Vermont/Hollywood Associates,            California Hotel and League LP            Lodging Association Marina Pacific Hotel and Westwood Property Owner,            Chen, Kevin Suites, Inc. LLC/Westwood Senior            Decro Corporation Merlone Geier Partners Housing, LLC            Dragonlaine Holdings, Wynkoop Properties, LLC            LLC</p>
9. \$496,500	<p><b>M Advisors LLC</b></p> <p>1200 S. Figueroa HNTB Corporation Pacific Property Partners            Development, Inc Hudson Pacific Properties Providence Tarzana Medical            3568 Motor Ave International Brotherhood of Center            AECOM Capital Electrical Workers, Local Regency            AEG 11 Southern California Pipe            Airbnb International Brotherhood of Trades District Council 16            CA Resources Corporation Electrical Workers, Local United Food &amp; Commercial            Californians for Energy 18 Workers Local 770            Independence Ironworkers Local 416 VE Equities, LLC            Community Builders Ironworkers Local 433 Verizon            Group IUPAT District Council 36 Westfield Promenade            CREED LA LAFC Sports, LLC Westside Campus Owner,            Dart Container LEXRAY LLC            Corporation Lincoln Property Company            West LLC</p>

**TOP 10 HIGHEST PAID LOBBYING FIRMS (cont'd)**

*Based on Client Payments Reported as Received In 2018 Q3*

Rank & Payments	Firm & Clients		
10. \$433,858	<b>Kindel Gagan</b>		
	2222 S. Figueroa St., LLC	Cedars-Sinai Medical Center Center BMW	London & Gonzalez Advocacy on behalf of the Recording
	360 Dome Pro ABM	DWP Mgmt Employees Assn Embree Asset Group, Inc.	Industry Assoc. of America Manhole Adjusting Inc.
	Adobe	ESP Co., Ltd.	Markwood Enterprises
	Alchemy Media	Exelon Corporation on behalf	Oracle America, Inc.
	Amazon	of Constellation Energy	Praxair, Inc. - Danbury, CT
	American Chemistry Council	Fashion District Residences, LLC c/o Realm Group	Smarte Carte Space Exploration Technologies
	American Hotel & Lodging Association	Fluor Enterprises, Inc. Foxpoint Media	Corp. STV Group Inc.
	AT&T INC. AND ITS AFFILIATES	Gateway to LA Greater LA New Car Dealers	Super Shuttle Los Angeles Swissport USA, Inc.
	Aviation Safeguards	Assn	Ten Thirty Eight, Inc.
	Beverly Hills C Property LLC	Hamlin Cody on behalf of JC Decaux	The Robert Mayer Corporation The Shammas Group
	Birdi & Associates, Inc.	Honeywell International Inc.	Transdev North America, Inc.
	Brookfield Property Partners	Hudson Group Ketter Construction	United Airlines Universal Villas, LLC
	Caladan Investments LLC	Kilroy Realty Corp LA Auto Show	Victory Unlimited Construction, LLC
	California Sign Association	LA Housing Partnership LimeBike	Wiseman Residential World Class Capital Group LLC

**E. Top 10 Highest Paying Clients**

In the third quarter of 2018, there were 1,791 registered clients of lobbying entities. Lobbying firms are required to disclose the payments they receive from their clients and the City matters associated with those payments. The clients that lobbying firms reported as having paid the most for lobbying services in the third quarter are identified in the table that begins on the next page. Payments from clients with similar names and projects are aggregated.

Each of the top ten highest paying clients spent at least \$137,000 during the quarter. Combined, their payments totaled \$2,916,892 or 17 percent of the \$17,189,563 total reported payments from clients.

## TOP 10 HIGHEST PAYING CLIENTS (Cont'd)

*Based on Aggregated Client Payments Reported for 2018 Q3*

Client	Total Payments Reported From Client	Detail of Total Payments			Agencies Lobbied
		Lobbying Firm	Payment	City Matter	
1. Atlas Capital Group, LLC	\$543,099	DLA Piper LLP (US)	\$181,935	Chinatown/120-129 W. College Street	City Council, Building and Safety, Planning, Rec & Parks
			\$124,037	Woodland Hills/20929 Ventura Boulevard	City Council, Building and Safety, Planning, LADOT
			\$81,934	Pickle Works/220 Center St.	City Attorney, City Council
			\$56,031	Alameda Square/777 Alameda St	City Attorney, City Council, Building and Safety, Planning.
			\$49,367	712 S. Olive	Building and Safety, LAFD, Engineering, LADWP
			\$35,023	410 W. 7th St.	Building and Safety, LADWP
			\$14,772	Vignes/1060 N Vignes Road	City Attorney, Planning
2. Crossroads Associates LLC	\$518,571	DLA Piper LLP (US)	\$518,571	Crossroads	Mayor, City Council, Building and Safety, Planning, LAPD
3. Johnson Development	\$325,618	Ek, Sunkin, Klink & Bai	\$325,000	Development	City Council
		Armbruster Goldsmith & Delvac LLP	\$618	Self-Storage Facility/3801 Broadway Place	No City agencies lobbied.
4. CIM Group (and 5929 Sunset (Hollywood), LLC and CIM Group, LP)	\$310,464	Gonzales Law Group, APC	\$92,937	Western Hotel/2137-2211 S Western Avenue	City Council, Planning
			\$35,029	5103 Adams [DIR2017-2835-DV-SPR]	City Council, Planning
			\$26,085	7007 Romaine/7007 Romaine [ZA-2016-2266-ZAA]	City Council, Planning
			\$23,061	5170 Adams Hotel	City Council, Planning
			\$14,898	5561 Adams CUP/5561 West Adams Boulevard	City Council, Planning
			\$7,692	926 Sycamore/926 Sycamore Avenue	City Council, Planning
			\$6,180	5560 Adams CUP/5560 -5562 West Adams Boulevard]	City Council, Planning
			\$5,208	904 La Brea CUP	City Council, Planning

## TOP 10 HIGHEST PAYING CLIENTS (Cont'd)

*Based on Aggregated Client Payments Reported for 2018 Q3*

Client	Total Payments Reported From Client	Detail of Total Payments			Agencies Lobbied
		Lobbying Firm	Payment	City Matter	
CIM Group (and 5929 Sunset (Hollywood), LLC and CIM Group, LP)	(cont'd from previous page)	Gonzales Law Group	\$4,924	5563 Adams CUP/5563-5565 West Adams Boulevard	City Council, Planning
			\$4,218	5135 Adams CUP	City Council, Planning
			\$3,651	911 Sycamore	City Council, Planning
			\$2,158	953 Sycamore	City Council, Planning
			\$1,758	7029 Willoughby	City Council, Planning
			\$952	West LA project/11800 Santa Monica [DIR2014-2297-DB-SPR]	City Council, Planning
			\$658	Hollywood Community Plan Advocacy	City Council, Planning
			\$588	Marketplace	City Council, Planning
			\$207	4121 Santa Monica Blvd.	City Council, Planning
		\$67	Sightglass Coffee [ZA2017-1968-CU]	City Council, Planning	
		Craig Lawson & Co., LLC	\$33,569	Sunset and Gordon/Hollywood	City Attorney, City Council, Neighborhood Councils, Planning
		Armbruster Goldsmith & Delvac LLP	\$24,438	Farmers Insurance Campus/Farmers Insurance Campus	City Council, Planning
		MAX DEVELOPMENT LLC dba threesixty	\$8,504	5263 W Adams/5263 W Adams Blvd. LA CA 90016	Planning
			\$5,427	4917-4919 W Adams/4917-4919 W Adams Blvd. LA CA 90016	City Council, Neighborhood Councils, Planning
			\$3,779	4921 Adams /4921 W Adams Blvd. LA CA 90016	City Council, Planning
			\$644	5359 W Adams/5359 W Adams	City Council, Building and Safety
		Latham & Watkins LLP	\$3,832	Land Use & Transportation Matters re: Sunset and Gordon Mixed-Use Project/5929-5945 W. Sunset Boulevard [EIRSCH 2006111135]	Mayor, City Attorney, City Council, Building and Safety, City Clerk, HCID, Neighborhood Councils, Planning, Rec & Parks, LADOT

**TOP 10 HIGHEST PAYING CLIENTS (Cont'd)**

*Based on Aggregated Client Payments Reported for 2018 Q3*

Client	Total Payments Reported From Client	Detail of Total Payments			Agencies Lobbied
		Lobbying Firm	Payment	City Matter	
5. Lightstone DTLA LLC (and Lightstone and Lightstone Group)	\$250,449	Sheppard, Mullin, Richter & Hampton LLP	\$217,244	Fiigueroa Convention Center Hotel	City Council, CLA, Planning
		Urban Solutions, LLC	\$22,575	Proposed Hotel /Pico & Figueroa [CF 16-0073]	City Council
		Arnie Berghoff & Associates	\$10,000	Development projects in the City of Los Angeles	City Council, CLA, CTD, Planning
		Psomas	\$630	Subdivision Map/601 W. Pico Blvd and 1248-1260 S. Figueroa Ave	Planning
6. Crescent Heights	\$232,402	DLA Piper LLP (US)	\$232,402	11th and Olive	City Council, Building and Safety, Planning
7. MOB Hotels & Compagnie LLC	\$229,232	Sheppard, Mullin, Richter & Hampton LLP	\$229,232	Chinatown Residential Project/638 New High Street, Los Angeles, CA	City Council, Planning
8. La Terra Development LLC	\$204,120	Englander Knabe and Allen	\$63,400	Development /4900 Hollywood Blvd.	No City agencies lobbied.
			\$56,900	1350 Deluxe Hollywood Investors, LLC/1350 N. Western Ave., Los Angeles, CA	No City agencies lobbied.
			\$27,000	Development/4850 Hollywood Blvd.	No City agencies lobbied.
			\$15,600	Development/1100 West Temple	No City agencies lobbied.
			\$9,300	Development/Encore Silverlake Investments/2753 Waverly Drive, Los Angeles	No City agencies lobbied.
		Armbruster Goldsmith & Delvac LLP	\$9,697	1350 Western/1350 Western	City Council, Planning
			\$9,031	4850 Hollywood/4850 Hollywood	City Council
			\$4,229	4900 Hollywood/4900 Hollywood	City Council
		MAX DEVELOPMENT LLC dba three6ixty	\$4,247	1100 Temple/1100 Temple LA, CA 90012	City Council, LAFD, HCID, Neighborhood Councils, Planning
			\$2,199	Pinnacle/235 N Hoover St 90004	Building and Safety, HCID, Planning, Engineering
			\$2,018	4900 Hollywood/4900 Hollywood	Building and Safety, LAFD, HCID
			\$288	Deluxe/1350 N Western Ave 90028	Building and Safety
			\$211	Barnsdall/4850 Hollywood Blvd 90027	Planning

TOP 10 HIGHEST PAYING CLIENTS (cont'd)					
Based on Aggregated Client Payments Reported for 2018 Q3					
Client	Total Payments Reported From Client	Detail of Total Payments			Agencies Lobbied
		Lobbying Firm	Payment	City Matter	
9. 1600 Hudson, LLC	\$165,000	Sheppard, Mullin, Richter & Hampton LLP	\$165,000	1600 Schrader Blvd./Los Angeles [CPC-2016-3750-VZC-HD-MCUP-SPR]	Planning
10. Champion Real Estate Company	\$137,937	DLA Piper LLP (US)	\$62,254	1501 Sunset	Building and Safety, Planning, Engineering
			\$43,694	General Real Estate	Building and Safety, HCID, Planning
			\$31,989	Yucca Argyle	City Council, Planning

## F. Fundraising

Lobbying entities are required to disclose the fundraising they engage in on behalf of elected City officials, candidates, and committees. Of the 649 third-quarter lobbying entities, 10 (1.5 percent) reported fundraising activity totaling \$44,600. A breakdown of the third-quarter fundraising activity, as reported by six lobbying firms and four lobbyists, is provided in the table presented below and on the following page. Entities that reported identical amounts for the same candidate or officeholder may have served as co-hosts for the same fundraising event.

FUNDRAISING 2018 Q3			
Rank & Total Raised	Name of Lobbying Entity	Type of Entity	Recipients
1. \$15,150	Arnie Berghoff & Associates	Firm	Joe Buscaino for City Council, 2011, Officeholder..... \$6,400 Bob Blumenfield for City Council 2013 – Officeholder Account..... \$6,350 Councilmember Cedillo Officeholder Account 2013..... \$2,400
2. \$8,450	Afriat Consulting Group Inc	Firm	Huizar Officeholder Account ..... \$6,850 Councilmember Cedillo Officeholder Account 2013..... \$1,600
3. \$6,900	Englander Knabe and Allen	Firm	Huizar Officeholder Account ..... \$6,900
4. \$4,500	Jeffrey S. McConnell	Lobbyist	Huizar Officeholder Account ..... \$4,500
5. \$4,000	Glaser, Weil, Fink, Howard, Avchen & Shapiro, LLP	Firm	Huizar Officeholder Account ..... \$4,000
6. \$1,600	Cerrell Assoc Inc	Firm	Huizar Officeholder Account ..... \$1,600
7. \$1,600	Strategic Government Affairs	Firm*	Joe Buscaino for City Council, 2011, Officeholder..... \$1,600

\*Sole proprietor

FUNDRAISING 2018 Q3			
Rank & Total Raised	Name of Lobbying Entity	Type of Entity	Recipients
8. \$800	Marcus A. Allen	Lobbyist	<i>Huizar Officeholder Account</i> ..... \$800
9. \$800	Harvey A. Englander	Lobbyist	<i>Huizar Officeholder Account</i> ..... \$800
10. \$800	Eric W. Rose	Lobbyist	<i>Huizar Officeholder Account</i> ..... \$800

**G. Contributions**

Lobbying entities must report on a quarterly basis the contributions they make or deliver or for which they act as intermediaries.

A lobbying entity delivers a contribution for another person when the lobbying entity causes the contribution to be mailed or physically conveyed to the recipient. A lobbying entity is an intermediary for a contribution when the lobbying entity makes the contribution, itself, on behalf of another party who then reimburses the lobbying entity. The identity of a person for whom a lobbying entity acts as an intermediary must be disclosed.

Certain contributions are prohibited. Lobbyists and lobbying firms may not make contributions to elected City officials, candidates, or their City-controlled committees if they are required to be registered to lobby the City office the official or candidate holds or seeks. This ban does not extend to lobbyist employers.

In the third quarter, three lobbyist employers and one lobbying firm reported making a combined \$4,100 in contributions. These contributions are detailed in the following table.

MADE CONTRIBUTIONS 2018 Q3			
Total Made	Name of Lobbying Entity	Type of Entity	Recipients
1. \$1,600	Building Owners & Managers Assn	Employer	<i>Huizar Officeholder Account</i> ..... \$800 <i>Krekorian for City Council 2009 - Officeholder</i> ..... \$800
2. \$1,600	Laborers Local 300	Employer	<i>Huizar Officeholder Account</i> ..... \$800 <i>Joe Buscaino for City Council, 2011, Officeholder</i> ..... \$800
3. \$800	BNSF Railway Company	Employer	<i>Huizar Officeholder Account</i> ..... \$800
4. \$100	Strategic Resources Group	Firm*	<i>Huizar Officeholder Account</i> ..... \$100

\*Sole proprietor

Also during the reporting period, five lobbyists, four lobbying firms, and one lobbyist employer reported delivering \$39,350 in contributions to the committees of seven City Councilmembers. More detail is provided in the table on the next page.



DELIVERED CONTRIBUTIONS 2018 Q3			
Total Delivered	Name of Lobbying Entity	Type of Entity	Recipients
1. \$15,350	Arnie Berghoff & Associates	Firm	Bob Blumenfield for City Council 2013 – Officeholder Account.....\$7,350 Joe Buscaino for City Council, 2011, Officeholder .....\$5,600 Councilmember Cedillo Officeholder Account 2013.....\$2,400
2. \$8,000	Kindel Gagan	Firm	Huizar Officeholder Account .....\$3,200 Joe Buscaino for City Council, 2011, Officeholder .....\$1,600 Councilmember Cedillo Officeholder Account 2013..... \$800 Councilmember Monica Rodriguez 2017 – Officeholder Account..... \$800 Nury Martinez for City Council 2013 Officeholder Account..... \$800 Wesson Officeholder Account..... \$800
3. \$5,600	Afriat Consulting Group Inc	Firm	Huizar Officeholder Account .....\$4,000 Councilmember Cedillo Officeholder Account 2013.....\$1,600
4. \$4,000	Englander Knabe and Allen	Firm	Huizar Officeholder Account .....\$4,000
5. \$1,600	Ken Spiker and Assoc Inc	Firm	Councilmember Cedillo Officeholder Account 2013..... \$800 Joe Buscaino for City Council, 2011, Officeholder ..... \$800
6. \$1,600	Jeffrey S. McConnell	Lobbyist	Huizar Officeholder Account .....\$1,600
7. \$800	Marcus A. Allen	Lobbyist	Huizar Officeholder Account ..... \$800
8. \$800	Central City Association of Los Angeles	Employer	Mitch Englander Officeholder..... \$800
9. \$800	Harvey A. Englander	Lobbyist	Huizar Officeholder Account ..... \$800
10. \$800	Eric W. Rose	Lobbyist	Huizar Officeholder Account ..... \$800

## H. Activity Expenses

Lobbying entities are required to report the payments they make to benefit City officials and their immediate family members. LAMC §§ 48.08(B)(4)–(5), 48.08(C)(5)–(6), 48.08(D)(7)–(8). Activity expenses include gifts and must be itemized if they are valued at \$25 or more. *Id.*; LAMC § 48.02.

Just as lobbyists and lobbying firms cannot make certain campaign contributions, they also cannot make gifts to City officials. Gifts from lobbyist employers are not prohibited, but they may not exceed \$100 per City official per year. As noted in the table on the following pages, lobbyist employer Hollywood Chamber of Commerce reported itemized activity expenses totaling \$473 in the third quarter of 2018.

ACTIVITY EXPENSES 2018 Q3					
Total	Lobbying Entity	Type of Entity	Recipient	Description	Value
\$473	Hollywood Chamber of Commerce	Employer	Sarah Dusseault <i>Chief of Staff</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			Nikki Ezhari <i>Staff</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			Rachel Fox <i>Staff</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			Nicholas Grief <i>Legislative Director</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			Catherine Landers <i>Staff</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			Estevan Montemayor <i>Staff</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			Mark Pamparin <i>Staff</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			Shannon Prior <i>Staff</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			Alice Ruth <i>Staff</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			David Ryu <i>Council Member</i> Council District 4	Luncheon with Council Member David Ryu	\$43
			Renee Weizer <i>Staff</i> Council District 4	Luncheon with Council Member David Ryu	\$43

## I. Major Filers

A major filer is a person who makes or incurs expenses totaling \$5,000 or more in a calendar quarter to influence one or more City matters but does not directly communicate with a City decision maker. Lobbyists, lobbying firms, and lobbyist employers are not major filers, and the payments and expenses they report do not count toward the threshold. However, a lobbying client can qualify as a major filer. LAMC § 48.02.

Major filers must file a disclosure report for every quarter in which they meet the \$5,000 threshold. Among other things, they must report the money spent on public or media relations, advertising, public outreach, research, reports, and similar activities. LAMC § 48.08(E).

No major filer activity was reported for the third quarter.

**J. Public Access**

This and other quarterly summaries are available on the Ethics Commission's web site. Detailed information about lobbying entities and their clients is also available. Registration statements and quarterly reports may be viewed online, and specific information may be searched through the Public Data Portal.