If you are someone that has received notice to file an Assuming Statement of Economic Interest (SEI) as of January 29, 2020, please see pages 2-19.

If you are someone who is filing an Annual Statement of Economic Interest (SEI) and were in a filing position prior to January 29, 2020, please see pages 20-29.
City of Los Angeles  
**Harbor Department**  
Conflict of Interests Code  
**DESIGNATED POSITIONS**  
*(Schedule A)*

<table>
<thead>
<tr>
<th>Position Title</th>
<th>Disclosure Category</th>
</tr>
</thead>
<tbody>
<tr>
<td>Accountant</td>
<td>5</td>
</tr>
<tr>
<td>Accounting Records Supervisor</td>
<td>13</td>
</tr>
<tr>
<td>Air Conditioning Mechanic Supervisor</td>
<td>9</td>
</tr>
<tr>
<td>Architect</td>
<td>5</td>
</tr>
<tr>
<td>Architectural Associate</td>
<td>2</td>
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<tr>
<td>Building Electrical Engineer</td>
<td>5, 11</td>
</tr>
<tr>
<td>Carpenter Supervisor</td>
<td>9</td>
</tr>
<tr>
<td>Chief Construction Inspector</td>
<td>1</td>
</tr>
<tr>
<td>Chief Financial Officer</td>
<td>1</td>
</tr>
<tr>
<td>Chief Harbor Engineer</td>
<td>8</td>
</tr>
<tr>
<td>Chief Information Security Officer</td>
<td>8</td>
</tr>
<tr>
<td>Chief Management Analyst</td>
<td>8</td>
</tr>
<tr>
<td>Chief Port Pilot</td>
<td>13</td>
</tr>
<tr>
<td>Chief Wharfinger</td>
<td>5</td>
</tr>
<tr>
<td>Civil Engineer</td>
<td>5</td>
</tr>
<tr>
<td>Civil Engineering Associate</td>
<td>5</td>
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<tr>
<td>Commissioner</td>
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<tr>
<td>Communications Engineering Associate</td>
<td>5</td>
</tr>
<tr>
<td>Community Affairs Advocate</td>
<td>2</td>
</tr>
<tr>
<td>Construction and Maintenance Supervisor</td>
<td>2</td>
</tr>
<tr>
<td>Construction Estimator</td>
<td>5</td>
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</tbody>
</table>
Construction Inspector           5
Consultant              *
Departmental Audit Manager        1
Departmental Chief Accountant           1
Director of Port Construction and Maintenance           15
Director of Port Marketing           8
Director of Port Operations          1
Electrical Engineering Associate           5
Electrician Supervisor           13
Emergency Management Coordinator            1
Environmental Affairs Officer           1
Environmental Specialist           3
Equipment Repair Supervisor           9
Equipment Specialist           3
Equipment Supervisor           13
Financial Analyst            5
Financial Manager            5
First Deputy General Manager Harbor           1
Fiscal Systems Specialist           6
General Manager Harbor Department           1
Harbor Engineer            5
Harbor Planning and Economic Analyst           5
Harbor Planning and Research Director           5
Harbor Public and Community Relations Director           8
Information Systems Manager           2
Information Systems Operations Manager           11
Internal Auditor 1
Landscape Architect 2
Landscape Architectural Associate 9
Legislative Representative 3
Management Analyst 2
Management Assistant 2
Marine Environmental Manager 5
Marine Environmental Supervisor 5
Materials Testing Engineer 12
Materials Testing Engineering Associate 12
Materials Testing Technician 2
Mechanical Engineering Associate 5
Mechanical Repair General Supervisor 9
Park Maintenance Supervisor 13
Payroll Supervisor 4
Personnel Director 8
Personnel Records Supervisor 11
Port Electrical Mechanic Supervisor 13
Port Marketing Manager 1
Port Police Captain, Marine Operations 1
Port Police Captain, Patrol Operations Division 1
Port Police Captain, Support Services Division 1
Port Police Lieutenant, Criminal Investigations Section 1
Port Police Lieutenant, Facilities Security Section 1
Port Police Lieutenant, Marine Law Enforcement Training Center 1
Port Police Lieutenant, Marine Operations Division       1
Port Police Lieutenant, Research & Discovery Section       1
Port Police Lieutenant, Support Operations Section       1
Port Police Sergeant, Bike Unit          3, 11
Port Police Sergeant, Criminal Investigations Section       3, 11
Port Police Sergeant, Dive Unit          3, 11
Port Police Sergeant, K9 Unit          3, 11
Port Police Sergeant, Marine & Sea Marshal Unit          3, 11
Port Police Sergeant, Motors Unit          3, 11
Port Police Sergeant, Professional Development & Training Unit    3, 11
Port Police Sergeant, Range Unit          3, 11
Port Police Sergeant, Support Operations Section       3, 11
Port Police Sergeant, Technology Unit          3, 11
Port Warden             1
Principal Accountant           8
Principal Civil Engineering Drafting Technician        2, 11
Principal Construction Inspector          5, 11
Principal Public Relations Representative         5
Procurement Analyst           2
Procurement Supervisor           2, 11
Property Manager             1
Public Information Director           1
Real Estate Associate          1
Real Estate Officer          1
Risk and Insurance Assistant          5
Risk Manager              5
<table>
<thead>
<tr>
<th>Position</th>
<th>Count</th>
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</thead>
<tbody>
<tr>
<td>Safety Engineer</td>
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<tr>
<td>Second Deputy General Manager Harbor</td>
<td>1</td>
</tr>
<tr>
<td>Senior Accountant</td>
<td>8</td>
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<tr>
<td>Senior Architect</td>
<td>5</td>
</tr>
<tr>
<td>Senior Civil Engineer</td>
<td>5</td>
</tr>
<tr>
<td>Senior Civil Engineering Drafting Technician</td>
<td>2, 11</td>
</tr>
<tr>
<td>Senior Communications Engineer</td>
<td>5, 11</td>
</tr>
<tr>
<td>Senior Construction Inspector</td>
<td>5, 11</td>
</tr>
<tr>
<td>Senior Electrical Inspector</td>
<td>5</td>
</tr>
<tr>
<td>Senior Electrician</td>
<td>10</td>
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<tr>
<td>Senior Management Analyst</td>
<td>8</td>
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<tr>
<td>Senior Personnel Analyst</td>
<td>8</td>
</tr>
<tr>
<td>Senior Port Electrical Mechanic</td>
<td>14</td>
</tr>
<tr>
<td>Senior Real Estate Officer</td>
<td>1</td>
</tr>
<tr>
<td>Senior Storekeeper</td>
<td>2</td>
</tr>
<tr>
<td>Senior Structural Engineer</td>
<td>5, 11</td>
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<tr>
<td>Senior Survey Supervisor</td>
<td>15</td>
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<tr>
<td>Senior Systems Analyst</td>
<td>6</td>
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<tr>
<td>Senior Transportation Engineer</td>
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<tr>
<td>Staff Assistant to General Manager Harbor</td>
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<tr>
<td>Storekeeper</td>
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<tr>
<td>Structural Engineer</td>
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<tr>
<td>Structural Engineering Associate</td>
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<tr>
<td>Survey Party Chief</td>
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</tr>
<tr>
<td>Systems Analyst</td>
<td>2</td>
</tr>
<tr>
<td>Systems Programmer</td>
<td>2</td>
</tr>
</tbody>
</table>
Telecommunications Planning and Utilization Officer  5
Traffic Manager  1
Transportation Engineer  5, 11
Transportation Engineer Associate  5, 11
Transportation Planning Associate  5
Video Production Coordinator  9
Wharfinger  12

* Consultants/New Positions are included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The General Manager may determine in writing that a particular consultant, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements in this section. Such written determination shall include a description of the consultant’s duties and, based upon that description, a statement of the extent of disclosure requirements. The General Manager’s determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interests Code. (Gov. Code Section 81008.)
An individual whose position is identified in the Designated Positions section (Schedule A) of an agency’s conflict of interests code is a filer and must disclose each economic interest identified below for the disclosure category associated with the individual’s position. Disclosure must be made by filing the California Form 700 on specified schedules.

The definitions for investments, business positions, sources of income, interests in real property, doing business, gifts, and other terms may be found in the California Political Reform Act, its associated regulations, and the instructions for the California Form 700. The term “division” means a subset of the filer’s agency and, depending on the agency’s structure, may also be known as a department, group, office, section, or other similar term used to indicate a subordinate unit of the agency.

Economic interests must be disclosed for the applicable reporting period. In general, the following types of Form 700 filings have the following reporting periods:

- **Annual:** January 1 through December 31 of the previous calendar year.
- **Assuming Office:** the date the filer assumes office for investments, business positions, and interests in real property; for income, the 12 months immediately preceding the date the filer assumes office.
- **Leaving Office:** the last date covered by the filer’s most recent filing through the date the filer left the designated position.

Reporting periods may vary in individual circumstances and for other types of filings and should be verified with the Ethics Commission.

**Category 1**

Any investment, business position, source of income, or interest in real property.

**Category 2**

A. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer’s agency;

2. Provided or sought to provide services, goods, or equipment to the filer’s agency; or
3. Was a party or sought to become a party to a written agreement with the filer’s agency.

B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's agency or is located within 500 feet of such property.

C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer’s agency.

D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer’s agency provided a review, recommendation, or referral.

**Category 3**

A. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer’s agency.

B. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's agency or is located within 500 feet of such property.

**Category 4**

A. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer’s agency.

B. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer’s agency.

C. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer's division;

2. Provided or sought to provide services, goods, or equipment to the filer's division; or

3. Was a party or sought to become a party to a written agreement with the filer's division.

D. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.
Category 5

A. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer's agency;

2. Provided or sought to provide services, goods, or equipment to the filer's agency; or

3. Was a party or sought to become a party to a written agreement with the filer’s agency.

B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's agency or is located within 500 feet of such property.

C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency.

D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer’s agency provided a review, recommendation, or referral.

E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's agency.

F. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's agency or is located within 500 feet of such property.

Category 6

A. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer’s agency;

2. Provided or sought to provide services, goods, or equipment to the filer’s agency; or

3. Was a party or sought to become a party to a written agreement with the filer’s agency.

B. Any interest in real property that was involved in a contracting decision made by or pending with the filer’s agency or is located within 500 feet of such property.
C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer’s agency.

D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer’s agency provided a review, recommendation, or referral.

E. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer’s agency.

F. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer’s agency.

G. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer’s agency or its employees when the filer's division provided a review, recommendation, or referral.

Category 7

A. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer’s agency.

B. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's agency or is located within 500 feet of such property.

C. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer’s agency.

D. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer’s agency.

E. Any investment in, business position with, or income from a source that did any of the following:
   1. Provides the type of services, goods, or equipment used by the filer’s division;
   2. Provided or sought to provide services, goods, or equipment to the filer’s division; or
   3. Was a party or sought to become a party to a written agreement with the filer's division.

F. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer’s agency or its employees when the filer's division provided a review, recommendation, or referral.
Category 8

A. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer’s agency;

2. Provided or sought to provide services, goods, or equipment to the filer’s agency; or

3. Was a party or sought to become a party to a written agreement with the filer’s agency.

B. Any interest in real property that was involved in a contracting decision made by or pending with the filer’s agency or is located within 500 feet of such property.

C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer’s agency.

D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer’s agency provided a review, recommendation, or referral.

E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer’s agency.

F. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer’s agency or is located within 500 feet of such property.

G. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer’s agency.

H. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer’s agency.

I. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer’s agency or its employees when the filer’s division provided a review, recommendation, or referral.

Category 9

A. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer’s division;
2. Provided or sought to provide services, goods, or equipment to the filer's division; 
or

3. Was a party or sought to become a party to a written agreement with the filer's 
division.

B. Any interest in real property that was involved in a contracting decision made by or 
pending with the filer's division or is located within 500 feet of such property.

C. Any investment in, business position with, or income from a source that rented, 
leased, subrented, sublet, purchased, or sold real property or facilities to or from the 
City when the filer's division provided a review, recommendation, or referral.

D. Any investment in, business position with, or income from a source that applied for 
or received a grant from or provided grant funding to the City when the filer's division 
provided a review, recommendation, or referral.

Category 10

A. Any investment in, business position with, or income from a source that was involved 
as a party, participant, or representative in an investigative, enforcement, claim, 
litigation, regulatory, legislative, permitting, or licensing issue made by or pending 
with the filer's division.

B. Any interest in real property that was involved in an enforcement, regulatory, 
legislative, permitting, or licensing decision made by or pending with the filer's 
division or is located within 500 feet of such property.

Category 11

A. Any income from an individual, or immediate family member of an individual, who 
was employed by or applied for any position with the filer’s agency when the filer’s 
division provided a review, recommendation, or referral.

B. Any investment in, business position with, or income from a source that represented 
or sought to represent an employee or group of employees and for whom the filer's 
division provided a review, recommendation, or referral.

C. Any investment in, business position with, or income from a source that did any of 
the following:

1. Provides the type of services, goods, or equipment used by the filer's division;

2. Provided or sought to provide services, goods, or equipment to the filer's division; 
or

3. Was a party or sought to become a party to a written agreement with the filer's 
division.
D. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer’s agency or its employees when the filer's division provided a review, recommendation, or referral.

Category 12

A. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer’s division;

2. Provided or sought to provide services, goods, or equipment to the filer’s division;
   or

3. Was a party or sought to become a party to a written agreement with the filer’s division.

B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's division or is located within 500 feet of such property.

C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer’s agency when the filer’s division provided a review, recommendation, or referral.

D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer’s division provided a review, recommendation, or referral.

E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer’s division.

F. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's division or is located within 500 feet of such property.

Category 13

A. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer's division;

2. Provided or sought to provide services, goods, or equipment to the filer’s division;
   or
3. Was a party or sought to become a party to a written agreement with the filer’s division.

B. Any interest in real property that was involved in a contracting decision made by or pending with the filer’s division or is located within 500 feet of such property.

C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer’s agency when the filer’s division provided a review, recommendation, or referral.

D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer’s division provided a review, recommendation, or referral.

E. Any income from an individual, or immediate family member of an individual, who was employed by or applied for any position with the filer’s agency when the filer’s division provided a review, recommendation, or referral.

F. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees and for whom the filer’s division provided a review, recommendation, or referral.

G. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer’s agency or its employees when the filer's division provided a review, recommendation, or referral.

**Category 14**

A. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer’s division.

B. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's division or is located within 500 feet of such property.

C. Any income from an individual, or immediate family member of an individual, who was employed by or applied for any position with the filer’s agency when the filer’s division provided a review, recommendation, or referral.

D. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer's division;

2. Provided or sought to provide services, goods, or equipment to the filer’s division; or
3. Was a party or sought to become a party to a written agreement with the filer's division.

E. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees and for whom the filer's division provided a review, recommendation, or referral.

F. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer's agency or its employees when the filer's division provided a review, recommendation, or referral.

**Category 15**

A. Any investment in, business position with, or income from a source that did any of the following:

1. Provides the type of services, goods, or equipment used by the filer's division;

2. Provided or sought to provide services, goods, or equipment to the filer's division; or

3. Was a party or sought to become a party to a written agreement with the filer's division.

B. Any interest in real property that was involved in a contracting decision made by or pending with the filer's division or is located within 500 feet of such property.

C. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer's agency when the filer's division provided a review, recommendation, or referral.

D. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer's division provided a review, recommendation, or referral.

E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigative, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer's division.

F. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer's division or is located within 500 feet of such property.

G. Any income from an individual, or immediate family member of an individual, who was employed by or applied for any position with the filer's agency when the filer's division provided a review, recommendation, or referral.
H. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees and for whom the filer’s division provided a review, recommendation, or referral.

I. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer’s agency or its employees when the filer's division provided a review, recommendation, or referral.

**Category 16**

A. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the City when the filer’s division provided a review, recommendation, or referral.

B. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees and for whom the filer’s division provided a review, recommendation, or referral.

C. Any investment in, business position with, or income from a source that did any of the following:
   1. Provides the type of services, goods, or equipment used by the filer's division;
   2. Provided or sought to provide services, goods, or equipment to the filer's division; or
   3. Was a party or sought to become a party to a written agreement with the filer's division.

D. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to City employees and for which the filer’s division provided a review, recommendation, or referral.

E. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in a personnel, investigative, enforcement, claim, or litigation matter that involved the filer's division.

**Category 17**

A. Any investment in, business position with, or income from a source that was involved as a party, participant, or representative in an investigatory, enforcement, claim, litigation, regulatory, legislative, permitting, or licensing issue made by or pending with the filer’s agency.

B. Any interest in real property that was involved in an enforcement, regulatory, legislative, permitting, or licensing decision made by or pending with the filer’s agency or is located within 500 feet of such property.

C. Any investment in, business position with, or income from a source that did any of the following:
1. Provides the type of services, goods, or equipment used by the filer’s division;

2. Provided or sought to provide services, goods, or equipment to the filer’s division; or

3. Was a party or sought to become a party to a written agreement with the filer’s division.

D. Any interest in real property that was involved in a contracting decision made by or pending with the filer’s division or is located within 500 feet of such property.

E. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer’s agency when the filer’s division provided a review, recommendation, or referral.

F. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer’s division provided a review, recommendation, or referral.

**Category 18**

A. Any income from an individual, or an immediate family member of an individual, who was employed by or applied for any position within the filer’s agency.

B. Any investment in, business position with, or income from a source that represented or sought to represent an employee or group of employees in the filer’s agency.

C. Any investment in, business position with, or income from a source that did any of the following:

   1. Provides the type of services, goods, or equipment used by the filer’s division;

   2. Provided or sought to provide services, goods, or equipment to the filer’s division; or

   3. Was a party or sought to become a party to a written agreement with the filer’s division.

D. Any investment in, business position with, or income from a source that provided or sought to provide benefits, services, goods, or equipment to the filer’s agency or its employees when the filer’s division provided a review, recommendation, or referral.

E. Any interest in real property that was involved in a contracting decision made by or pending with the filer’s division or is located within 500 feet of such property.

F. Any investment in, business position with, or income from a source that rented, leased, subrented, sublet, purchased, or sold real property or facilities to or from the filer’s agency when the filer’s division provided a review, recommendation, or referral.
G. Any investment in, business position with, or income from a source that applied for or received a grant from or provided grant funding to the City when the filer’s division provided a review, recommendation, or referral.
### HARBOR DEPARTMENT
#### REVISED CONFLICT OF INTEREST CODE
##### SCHEDULE "A" - DESIGNATED POSITIONS

<table>
<thead>
<tr>
<th>CLASSIFICATION</th>
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<tr>
<td>Commissioner</td>
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<tr>
<td>General Manager Harbor Department</td>
<td>1</td>
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<td>First Deputy General Manager Harbor Department</td>
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<tr>
<td>Second Deputy General Manager</td>
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<td>Chief Harbor Engineer</td>
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<tr>
<td>Director of Port Administration</td>
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<tr>
<td>Director of Port Marketing I,II</td>
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<tr>
<td>Harbor Planning and Research Director I, II</td>
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<td>Harbor Public and Community Relations Director</td>
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<td>Information Systems Manager II</td>
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<td>Legislative Representative</td>
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<td>Public Information Director II</td>
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<td>Senior Management Analyst II (Director of Management Services)</td>
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<td>Traffic Manager</td>
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<tr>
<td>Marine Environmental Manager</td>
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<td>Marine Environmental Supervisor I</td>
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<tr>
<td>Departmental Chief Accountant I</td>
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*Approved on April 25, 1997, by the City Council.*
*Council Index File No.: 97-0525*
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<td>Senior Real Estate Officer</td>
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<td>Director of Purchasing Services</td>
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<td>Departmental Personnel Officer</td>
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<td>Harbor Engineer I, II (Construction)</td>
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<td>Port Warden</td>
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<tr>
<td>Consultants</td>
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</tbody>
</table>

* Whether any individual is a consultant shall be determined by the General Manager, who also determines which of the above categories is applicable to that consultant.

Revised 01/28/97
**Agency Report of:**
Consultants

**A Public Document**

1. **Agency Name**  (Also include, Division, Department, or Region (if applicable))
   City of Los Angeles, Harbor Department

   **Agency Contact**  (Name,Title)
   James Caudill, Sr. Personnel Analyst

   **Area Code/Phone Number**
   310-732-4772

   **E-mail**
   jcaudill@portla.org

   **Date of Original Filing:**
   (month, day, year)

   **LOS ANGELES CITY**
   **ETHICS COMMISSION**

2. **Firm Information**

   **Firm Name**
   Harbor Community Benefit Foundation

   **Firm Address**
   150 W. 6th Street, Suite 100B, San Pedro, CA 90731

   **E-mail (optional)**
   Mary@hcbf.org

   **E-mail (optional)**

   **Describe General Purpose of Contract**
   The HCBF is a nonprofit established to operate the Port Community Mitigation Trust Fund (PCMTF) created and funded by the Harbor Department as a result of the settlement of appeal of the TraPac EIR. HCBF is responsible for proposing projects to be funded by the PCMTF and overseeing those projects.

3. **Consultant Information**

<table>
<thead>
<tr>
<th>Consultant Name (Last, First)</th>
<th>Assigned Category</th>
<th>OR</th>
<th>Disclosure Requirement</th>
<th>Assuming/Start Date Leaving/End Date (if known)</th>
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</tbody>
</table>

4. **Verification**

   I have read and understand FPPC Regulations 18701 and 18734. I have verified that the disclosure assignment(s) set forth above, is in accordance with its provisions.

   **Geraldine Knatz:**  Executive Director

   **Print Name**  **Title**

   (month, day, year)

   **Comment:** (Use this space or an attachment for any additional information.)

---

**FPPC Form 805 (9/11)**

**FPPC Toll-Free Helpline:** 866/ASK-FPPC (866/275-3772)
Agency Report of:
Consultants

A Public Document

1. Agency Name (Also include, Division, Department, or Region (if applicable))
City of Los Angeles, Harbor Department

Agency Contact (Name, Title)
James Caudill, Sr. Personnel Analyst

Area Code/Phone Number
310-732-4772

E-mail
jcaudill@portla.org

2. Firm Information

Firm Name
Harbor Community Benefit Foundation

Firm Address
150 W. 6th Street, Suite 100B, San Pedro, CA 90731

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<tr>
<th>Consultant Name</th>
<th>Assigned Category</th>
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4. Verification
I have read and understand FPPC Regulations 15701 and 16734. I have verified that the disclosure assignment(s) set forth above, is in accordance with its provisions.

Geraldine Knatz
Executive Director
Print Name
Title

Comment: (Use this space or an attachment for any additional information.)
Agency Report of:
Consultants

A Public Document

1. Agency Name
City of Los Angeles, Harbor Department

Agency Contact
James Caudill, Sr. Personnel Analyst

Area Code/Phone Number
310-732-4772

2. Firm Information

Firm Name
Harbor Community Benefit Foundation

Firm Address
150 W. 6th Street, Suite 100B, San Pedro, CA 90731

E-mail (optional)
Mary@hcbf.org

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3. Consultant Information

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<th>Disclosure Requirement</th>
<th>Assuming/Start Date Leaving/End Date (if known)</th>
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</table>

4. Verification
I have read and understand FPPC Regulations 18701 and 18734. I have verified that the disclosure assignment(s) set forth above, is in accordance with its provisions.

Geraldine Knatz
Executive Director
Print Name
Title

Comment: (Use this space or an attachment for any additional information.)

Signatures

- [Signature]

Date of Original Filing: (month, day, year)

Comments on submitted form:

FPPC Form 805 (9/11)
FPPC Toll-Free Helpline: 866/ASK-FPPC (866/275-3772)
HARBOR DEPARTMENT
REVISED CONFLICT OF INTEREST CODE
SCHEDULE "B" - DISCLOSURE CATEGORIES

GENERAL PROVISION

A designated employee is required to disclose that he or she is a director, officer, partner, trustee, employee or holds any position of management in a business entity if he or she would be required to disclose income from that entity. Income includes loans and gifts.

CATEGORY 1

As required by State law (Government Code Section 87200), positions in this classification must disclose all investments, income, and interests in real property as defined in this Conflict of Interest Code.

CATEGORY 2

A. Any investment, as defined by this Code.

B. Any interest in real property located on or within one-half mile of the Harbor District or other property under the jurisdiction of the Harbor Department.

C. Any income, including gifts, from any person or business entity which currently supplies or within the past 12 months supplied goods or services to the Harbor Department, or is or was a subtenant of the Harbor Department, or is or was a party to a legal proceeding (whether administrative or judicial) involving the Harbor Department, or is or was licensed by the Harbor Department to use its facilities, or makes use of Harbor Department facilities pursuant to Tariff, or is or was a competitor of any person or business entity described above.

D. Any business positions held in relevant business entities.

CATEGORY 3

A. Any investment in, income from, or business positions with any person or business entity which provides, proposes to provide, or during the past 12 months provided or proposed to provide environmental consulting services for the Harbor Department.

B. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.

C. Any investment in, income from, or business positions with any person or business entity which is, proposes to be, or during the past 12 months was or proposed to be

Approved on April 25, 1997, by the City Council.
Council Index File No.: 97-0525
Harbor Department  
COI Code Schedule B - Page 2

**CATEGORY 3** (contd.)

engaged in the construction or maintenance of facilities within the Harbor District as a contractor or subcontractor or any direct competitor of such person or business entity.

D. Any interest in real property which is located on or within one-half mile of the Harbor District or other property under the jurisdiction of the Harbor Department.

E. Any business positions held in relevant business entities.

**CATEGORY 4**

A. Any investment in, income from, or business positions with any person or business entity which provides, proposes to provide, or during the past 12 months provided or proposed to provide investment counseling, financial auditing or insurance services for the Harbor Department.

B. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.

C. Any investment in, income from, or business positions with any person or business entity which currently is, proposes to be, or during the past 12 months was or proposed to be engaged in the business of shipping or providing shipping related services for goods which are, are proposed to be, or were transported through the Harbor District or property under the jurisdiction of the Harbor Department.

D. Any business positions held in relevant business entities.

**CATEGORY 5**

A. Any investment in, income from, or business positions with any person or business entity which sells, leases, rents, or manages property, makes appraisals and title examinations of property, or which sells or purchases land, easements, licenses and land management services involving property located on or within one-half mile of land which is under the jurisdiction.

B. Any interest in real property located on or within one-half mile of the Harbor District or other property under the jurisdiction of the Harbor Department.
CATEGORY 5 (contd.)

C. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.

D. Any business positions held in relevant business entities.

CATEGORY 6

A. Any investment in, income from, or business positions with any person or business entity which supplies, proposes to supply or during the past 12 months supplied or proposed to supply materials, goods, or services to the Harbor Department.

B. Any business positions held in relevant business entities.

CATEGORY 7

A. Any investment in, or income from, or business positions with any person or business entity which provides, proposes to provide, or during the past 12 months provided or proposed to provide personal services or management consulting services for the Harbor Department.

B. Income from any individual who is currently employed, seeking employment, or during the past 12 months was employed or sought employment with the Harbor Department.

C. Any business positions held in relevant business entities.

CATEGORY 8

A. Any investment in, income from, or business positions with any person or business entity which provides or proposes to provide services involving the design, construction or maintenance of facilities for the Harbor Department.

B. Any investment in, income from, or business positions with any person or business entity which is, proposes to be, or during the past 12 months was or proposed to be engaged in the construction or maintenance of facilities within the Harbor District as a contractor or subcontractor or any direct competitor of such person or business entity.
CATEGORY 8 (contd.)

C. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.

D. Any interest in real property which is located on or within one-half mile of the Harbor District or other property under the jurisdiction of the Harbor Department.

E. Any investment in, income from, or business positions with any person or business entity which supplies or manufactures, proposes to supply or manufacture, or during the past 12 months supplied or manufactured or proposed to supply or manufacture materials for use in the construction or maintenance of facilities within the Harbor District.

F. Any business positions held in relevant business entities.

CATEGORY 9

A. Any investment in, income from, or business positions with any person or business entity which currently is, proposes to be, or during the past 12 months was or proposed to be engaged in the construction or maintenance of facilities within the Harbor District as a contractor or subcontractor or any direct competitor of such person or business entity.

B. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.

C. Any investment in, income from, or business positions with any person or business entity which supplies or manufactures, proposes to supply or manufacture, or during the past 12 months supplied or manufactured or proposed to supply or manufacture materials for use in the construction or maintenance of facilities within the Harbor District.

D. Any business positions held in relevant business entities.

CATEGORY 10

A. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.
CATEGORY 10 (contd.)

B. Any investment in, income from, or business positions with any person or business entity which ships or receives, or during the past 12 months shipped or received goods transported through the Harbor Department.

C. Any investment in, income from, or business positions with from any person or business entity which currently is or during the past 12 months was engaged in the business of shipping or providing shipping related services for goods which are or were transported through the Harbor District.

D. Any business positions held in relevant business entities.

Revised 01/28/97