

**HARBOR DEPARTMENT
REVISED CONFLICT OF INTEREST CODE
SCHEDULE "A" - DESIGNATED POSITIONS**

<u>CLASSIFICATION</u>	<u>DISCLOSURE CATEGORY</u>
Commissioner	2
General Manager Harbor Department	1
First Deputy General Manager Harbor Department	1
Second Deputy General Manager	2
Chief Financial Officer	1
Financial Manager II (Treasury Management)	1
Chief Harbor Engineer	2
Director of Port Administration	2
Director of Port Marketing I,II	2
Harbor Planning and Research Director I, II	2
Harbor Public and Community Relations Director	2
Information Systems Manager II	2
Legislative Representative	2
Public Information Director II	2
Senior Management Analyst II (Director of Management Services)	2
Traffic Manager	2
Marine Environmental Manager	3
Marine Environmental Supervisor I	3
Departmental Chief Accountant I	4

**HARBOR DEPARTMENT
REVISED CONFLICT OF INTEREST CODE
SCHEDULE "A" - DESIGNATED POSITIONS (contd.)**

<u>CLASSIFICATION</u>	<u>DISCLOSURE CATEGORY</u>
Financial Manager I, II	4
Risk Manager	4
Property Manager II, IV	5
Real Estate Officer	5
Senior Real Estate Officer	5
Director of Purchasing Services	6
Departmental Personnel Officer	7
Harbor Engineer I, II (Design)	8
Director of Port Construction and Maintenance I, II	9
Harbor Engineer I, II (Construction)	9
Port Warden	10
Chief Wharfinger I, II	10
Wharfinger II	10
Consultants	**

* Whether any individual is a consultant shall be determined by the General Manager, who also determines which of the above categories is applicable to that consultant.

Revised 01/28/97

**HARBOR DEPARTMENT
REVISED CONFLICT OF INTEREST CODE
SCHEDULE "B" - DISCLOSURE CATEGORIES**

GENERAL PROVISION

A designated employee is required to disclose that he or she is a director, officer, partner, trustee, employee or holds any position of management in a business entity if he or she would be required to disclose income from that entity. Income includes loans and gifts.

CATEGORY 1

As required by State law (Government Code Section 87200), positions in this classification must disclose all investments, income, and interests in real property as defined in this Conflict of Interest Code.

CATEGORY 2

- A. Any investment, as defined by this Code.
- B. Any interest in real property located on or within one-half mile of the Harbor District or other property under the jurisdiction of the Harbor Department.
- C. Any income, including gifts, from any person or business entity which currently supplies or within the past 12 months supplied goods or services to the Harbor Department, or is or was a subtenant of the Harbor Department, or is or was a party to a legal proceeding (whether administrative or judicial) involving the Harbor Department, or is or was licensed by the Harbor Department to use its facilities, or makes use of Harbor Department facilities pursuant to Tariff, or is or was a competitor of any person or business entity described above.
- D. Any business positions held in relevant business entities.

CATEGORY 3

- A. Any investment in, income from, or business positions with any person or business entity which provides, proposes to provide, or during the past 12 months provided or proposed to provide environmental consulting services for the Harbor Department.
- B. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.
- C. Any investment in, income from, or business positions with any person or business entity which is, proposes to be, or during the past 12 months was or proposed to be

CATEGORY 3 (contd.)

engaged in the construction or maintenance of facilities within the Harbor District as a contractor or subcontractor or any direct competitor of such person or business entity.

- D. Any interest in real property which is located on or within one-half mile of the Harbor District or other property under the jurisdiction of the Harbor Department.
- E. Any business positions held in relevant business entities.

CATEGORY 4

- A. Any investment in, income from, or business positions with any person or business entity which provides, proposes to provide, or during the past 12 months provided or proposed to provide investment counseling, financial auditing or insurance services for the Harbor Department.
- B. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.
- C. Any investment in, income from, or business positions with any person or business entity which currently is, proposes to be, or during the past 12 months was or proposed to be engaged in the business of shipping or providing shipping related services for goods which are, are proposed to be, or were transported through the Harbor District or property under the jurisdiction of the Harbor Department.
- D. Any business positions held in relevant business entities.

CATEGORY 5

- A. Any investment in, income from, or business positions with any person or business entity which sells, leases, rents, or manages property, makes appraisals and title examinations of property, or which sells or purchases land, easements, licenses and land management services involving property located on or within one-half mile of land which is under the jurisdiction.
- B. Any interest in real property located on or within one-half mile of the Harbor District or other property under the jurisdiction of the Harbor Department.

CATEGORY 5 (contd.)

- C. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.
- D. Any business positions held in relevant business entities.

CATEGORY 6

- A. Any investment in, income from, or business positions with any person or business entity which supplies, proposes to supply or during the past 12 months supplied or proposed to supply materials, goods, or services to the Harbor Department.
- B. Any business positions held in relevant business entities.

CATEGORY 7

- A. Any investment in, ~~or~~ income from, or business positions with any person or business entity which provides, proposes to provide, or during the past 12 months provided or proposed to provide personal services or management consulting services for the Harbor Department.
- B. Income from any individual who is currently employed, seeking employment, or during the past 12 months was employed or sought employment with the Harbor Department.
- C. Any business positions held in relevant business entities.

CATEGORY 8

- A. Any investment in, income from, or business positions with any person or business entity which provides or proposes to provide services involving the design, construction or maintenance of facilities for the Harbor Department.
- B. Any investment in, income from, or business positions with any person or business entity which is, proposes to be, or during the past 12 months was or proposed to be engaged in the construction or maintenance of facilities within the Harbor District as a contractor or subcontractor or any direct competitor of such person or business entity.

CATEGORY 8 (contd.)

- C. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.
- D. Any interest in real property which is located on or within one-half mile of the Harbor District or other property under the jurisdiction of the Harbor Department.
- E. Any investment in, income from, or business positions with any person or business entity which supplies or manufactures, proposes to supply or manufacture, or during the past 12 months supplied or manufactured or proposed to supply or manufacture materials for use in the construction or maintenance of facilities within the Harbor District.
- F. Any business positions held in relevant business entities.

CATEGORY 9

- A. Any investment in, income from, or business positions with any person or business entity which currently is, proposes to be, or during the past 12 months was or proposed to be engaged in the construction or maintenance of facilities within the Harbor District as a contractor or subcontractor or any direct competitor of such person or business entity.
- B. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.
- C. Any investment in, income from, or business positions with any person or business entity which supplies or manufactures, proposes to supply or manufacture, or during the past 12 months supplied or manufactured or proposed to supply or manufacture materials for use in the construction or maintenance of facilities within the Harbor District.
- D. Any business positions held in relevant business entities.

CATEGORY 10

- A. Any investment in, income from, or business positions with any person or business entity which uses, proposes to use, or during the past 12 months used or proposed to use Harbor Department property.

CATEGORY 10 (contd.)

- B. Any investment in, income from, or business positions with any person or business entity which ships or receives, or during the past 12 months shipped or received goods transported through the Harbor Department.
- C. Any investment in, income from, or business positions with from any person or business entity which currently is or during the past 12 months was engaged in the business of shipping or providing shipping related services for goods which are or were transported through the Harbor District.
- D. Any business positions held in relevant business entities.

Revised 01/28/97

**Agency Report of:
Consultants**

A Public Document

California Form 805

1. Agency Name (Also include, Division, Department, or Region (if applicable)) City of Los Angeles, Harbor Department		<input type="checkbox"/> Amendment Date of Original Filing: _____ (month, day, year)
Agency Contact (Name, Title) James Caudill, Sr. Personnel Analyst		LOS ANGELES CITY ETHICS COMMISSION
Area Code/Phone Number 310-732-4772	E-mail jcaudill@portla.org	

2. Firm Information		
Firm Name Harbor Community Benefit Foundation		JAN 27 2012 RECEIVED
Firm Address 150 W. 6th Street, Suite 100B, San Pedro, CA 90731	E-mail (optional) Mary@hcbf.org	E-mail (optional)
Describe General Purpose of Contract The HCBF is a nonprofit established to operate the Port Community Mitigation Trust Fund (PCMTF) created and funded by the Harbor Department as a result of the settlement of appeal of the TraPac EIR. HCBF is responsible for proposing projects to be funded by the PCMTF and overseeing those projects.		

3. Consultant Information			
Consultant Name (Last, First)	Assigned Category	OR Disclosure Requirement	Assuming/Start Date Leaving/End Date (if known)
Hecht, Sean	2		Start <u>05</u> / <u>31</u> / <u>11</u> d / m / yr End _____ d / m / yr
Prichard, Michele	2		Start <u>05</u> / <u>31</u> / <u>11</u> d / m / yr End _____ d / m / yr
Marquez, Jesse	2		Start <u>05</u> / <u>31</u> / <u>11</u> d / m / yr End _____ d / m / yr

4. Verification
 I have read and understand FPPC Regulations 18701 and 18734. I have verified that the disclosure assignment(s) set forth above, is in accordance with its provisions.

	Geraldine Knatz _____ Print Name	Executive Director _____ Title	1-25-12 (month, day, year)
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Comment: (Use this space or an attachment for any additional information.)

**Agency Report of:
Consultants**

A Public Document

**California
Form 805**

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Agency Contact (Name, Title) James Caudill, Sr. Personnel Analyst		
Area Code/Phone Number 310-732-4772	E-mail jcaudill@portla.org	

2. Firm Information

Firm Name
Harbor Community Benefit Foundation

Firm Address 150 W. 6th Street, Suite 100B, San Pedro, CA 90731	E-mail (optional) Mary@hcbf.org	
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Describe General Purpose of Contract

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3. Consultant Information

Consultant Name (Last, First)	Assigned Category	OR	Disclosure Requirement	Assuming/Start Date Leaving/End Date (if known)
Prieto, Silvia	2			Start 05 / 31 / 11 d / m / yr End ____ / ____ / ____ d / m / yr
Peyton, Peter	2			Start 05 / 31 / 11 d / m / yr End ____ / ____ / ____ d / m / yr
Sadd, James	2			Start 05 / 31 / 11 d / m / yr End ____ / ____ / ____ d / m / yr

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Comment: (Use this space or an attachment for any additional information.)

**Agency Report of:
Consultants**

A Public Document

**California
Form 805**

1. Agency Name (Also include, Division, Department, or Region (if applicable)) City of Los Angeles, Harbor Department		<input type="checkbox"/> Amendment Date of Original Filing: _____ (month, day, year)
Agency Contact (Name, Title) James Caudill, Sr. Personnel Analyst		
Area Code/Phone Number 310-732-4772	E-mail jcaudill@portla.org	

2. Firm Information

Firm Name
Harbor Community Benefit Foundation

Firm Address 150 W. 6th Street, Suite 100B, San Pedro, CA 90731	E-mail (optional) Mary@hcbf.org	E-mail (optional)
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3. Consultant Information

Consultant Name (Last, First)	Assigned Category	OR	Disclosure Requirement	Assuming/Start Date Leaving/End Date (if known)
Woodfield, Kathleen	2			Start <u>05</u> / <u>31</u> / <u>11</u> d / m / yr End ____ / ____ / ____ d / m / yr
Mary Silverstein	2			Start <u>12</u> / <u>1</u> / <u>11</u> d / m / yr End ____ / ____ / ____ d / m / yr
				Start ____ / ____ / ____ d / m / yr End ____ / ____ / ____ d / m / yr

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	Geraldine Knatz _____ Print Name	Executive Director _____ Title	1-25-12 (month, day, year)
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