

SCHEDULE "A"
DESIGNATED POSITIONS

DEPARTMENT OF WATER AND POWER
CONFLICT OF INTEREST CODE

<u>CLASS CODE</u>	<u>DDR NUMBER</u>	<u>WORKING TITLE</u>	<u>APPLICABLE CATEGORY</u>
		COMMISSIONER	1
9739	01-97104	SECRETARY - WATER AND POWER COMMISSION	1
9998	02-99002	GENERAL MANAGER AND CHIEF ENGINEER	1
CAO ² 9409	02-09909	ASSISTANT GENERAL MANAGER	1
? 0360	02-09910	EXECUTIVE ASSISTANT TO THE GENERAL MANAGER	1
9185	91-17114	STAFF ASSISTANT TO THE GENERAL MANAGER	1
9101	02-17119	LEGISLATIVE ASSISTANT	1
9149	05-00001	ADMINISTRATOR, WATER AND POWER RETIREMENT PLAN	2,4
9415	05-94102	ASSISTANT ADMINISTRATOR, RETIREMENT PLAN	2,4
	05-	EMPLOYEE MEMBERS, BOARD OF ADMINISTRATION, RETIREMENT PLAN	2,4
9557	10-01710	INDUSTRIAL RELATIONS ADMINISTRATOR	2,4,5,8
9556	91-17008	ASSISTANT INDUSTRIAL RELATIONS ADMINISTRATOR	2,4,5,8
1712	10-17104	MANAGER, LABOR RELATIONS AND COMPENSATION	2,4,8
1744	10-17113	MANAGER, EMPLOYEE DEVELOPMENT	4
1777	91-17112	WORKER'S COMPENSATION OFFICER	4,5
9556	10-17011	MANAGER, PERSONNEL SERVICES	4,8
9376	22-14018	DEPUTY DIRECTOR, MANAGEMENT INFORMATION SERVICES	1
9377	22-14049	MANAGER OF SYSTEMS AND PROGRAMMING	4
2334	10-02301	MEDICAL DIRECTOR	4,5
0590	10-02302	ASSISTANT MEDICAL DIRECTOR	4,5
9528	15-17108	DIRECTOR OF PUBLIC AFFAIRS	4
1950	17-19103	CHIEF LAND OFFICER	3,4
1958	17-19129	ASSISTANT CHIEF LAND OFFICER	3,4
1772	19-17004	CLAIMS AGENT	4,5

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1773	19-17103	ASSISTANT CLAIMS AGENT	4,5
9759	20-01503	AUDITOR (CHIEF FINANCIAL OFFICER)	1
9655	23-15119	CHIEF ACCOUNTANT	1
1531	20-15112	ASSISTANT TO CHIEF FINANCIAL OFFICER-- BUDGET, FINANCIAL PLANNING AND RATES	4,6
7539	20-75002	RATES ADMINISTRATION AND ANALYSIS MANAGER	6
1531	21-15108	CHIEF INTERNAL AUDITOR	1
1521	21-15109	SENIOR INTERNAL AUDITOR, GENERAL ACCOUNTS	1
1531	23-15125	STAFF SERVICES ACCOUNTANT	4
1531	92-15131	SUPERVISOR OF ADMINISTRATIVE SERVICES AND RISK MANAGEMENT	4
1531	92-15130	SUPERVISOR, COST AND PLANT ACCOUNTING	4
1531	92-15132	SUPERVISOR OF GENERAL ACCOUNTING	2,4
1521	23-15108	CONSTRUCTION PROJECT COST ACCOUNTANT	2,4
9489	25-16035	DIRECTOR, CUSTOMER SERVICES	2,3,4,5
9155	25-16132	ASSISTANT CUSTOMER SERVICES DIRECTOR	2,3,4,5
9159	66-16213	DIRECTOR OF CONSERVATION AND UTILITY SERVICES	2,4,6
9679	27-18011	PURCHASING AGENT	2,4
1857	27-18108	ASSISTANT PURCHASING AGENT	2,4
1862	27-18007	SUPERVISOR, MAJOR PROCUREMENT	2,4
1862	27-18007	ASSISTANT SUPERVISOR, MAJOR PROCUREMENT	2,4
1862	27-18008	SUPERVISOR, INFORMAL CONTRACTS	2,4
1862	27-18008	ASSISTANT SUPERVISOR, INFORMAL CONTRACTS	2,4
1159	91-18109	TRAFFIC AGENT	4
9905	30-07203	CHIEF ENGINEER OF WATER WORKS AND ASSISTANT MANAGER	1
9903	30-07202	ASSISTANT CHIEF ENGINEER OF WATER WORKS	1
9409	30-72126	EXECUTIVE ASSISTANT TO THE CHIEF ENGINEER OF WATER WORKS	2,3,4,5,7
9409	34-72177	ENGINEER OF DESIGN	2,3,4,5

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9409	34-72178	ASSISTANT ENGINEER OF DESIGN - PROJECT DESIGN AND INSPECTION	2,3,4,5
9409	93-72178	ASSISTANT ENGINEER OF DESIGN - WATER ENGINEERING DESIGN	2,3,4,5
9409	38-72109	ENGINEER IN CHARGE OF AQUEDUCT DIVISION	2,3,4,5
9405	38-72134	ASSISTANT ENGINEER, AQUEDUCT DIVISION SENIOR ENGINEER, NORTHERN DISTRICT	2,3,4,5
9405	38-72135	SENIOR ENGINEER, SOUTHERN DISTRICT	2,3,4,5
9405	30-72127	SENIOR WATER WORKS ENGINEER	2,3,4,5
9409	42-72121	ENGINEER OF OPERATING, WATER OPERATING DIVISION	2,3,4,5
9409	42-72032	ASSISTANT ENGINEER OF WATER OPERATING	2,3,4,5
9409	52-78029	PRINCIPAL SANITARY ENGINEER	2,3,4,5
7874	52-78037	SENIOR SANITARY ENGINEER - ASSISTANT DIVISION HEAD	2,3,4,5
9601	45-75100	GENERAL SERVICES MANAGER	2,5
9601	45-75007	ASSISTANT GENERAL SERVICES MANAGER	2,5
9405	45-72103	SENIOR CONSTRUCTION ENGINEER AND ASSISTANT GENERAL SERVICES MANAGER	2,5
1850	45-18150	STORES SUPERINTENDENT	4
1850	45-18124	ASSISTANT STORES SUPERINTENDENT	4
9805	60-07503	CHIEF ELECTRICAL ENGINEER AND ASSISTANT MANAGER	1
9803	60-07501	ASSISTANT CHIEF ELECTRICAL ENGINEER	2,3,4,5,6,7
9479	62-75001	ENGINEER OF SYSTEM DEVELOPMENT	2,3,4,5,6,7
9450	62-75029	SENIOR RESOURCES CONTRACTS ENGINEER	2,3,4,5,6,7
9479	62-75019	ASSISTANT ENGINEER OF SYSTEM DEVELOPMENT	2,3,4,5,6,7
9479	72-07502	ENGINEER OF DESIGN AND CONSTRUCTION (POWER SYSTEM)	2,3,4,5,6
9479	72-75430	ASSISTANT ENGINEER OF DESIGN AND CONSTRUCTION	2,3,4,5,6
9489	72-72057	ENGINEER OF CIVIL AND STRUCTURAL DESIGN AND ENGINEERING SERVICES	3,4

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9479	72-75136	ENGINEER OF CONSTRUCTION	3,4,5
9459	72-75326	ENGINEER OF MECHANICAL DESIGN	3,4
9479	72-75363	ENGINEER OF STATION ELECTRICAL DESIGN	3,4
9479	72-75415	ENGINEER OF TRANSMISSION AND DISTRIBUTION ELECTRICAL DESIGN	3,4
9451	72-75396	NUCLEAR POWER PLANT PROJECT MANAGER (and COAL-FUELED PROJECTS MANAGER, formerly ALLEN PROJECT MANAGER, DDR 72-75424)	3,4
9479	82-07501	ENGINEER OF OPERATION AND MAINTENANCE	3,4,5,6,7
9451	82-75124	ASSISTANT ELECTRICAL ENGINEER IN CHARGE OF OPERATION and ENGINEER OF DISTRIBUTION (DDR 82-75049)	3,4,5,6,7
9479	82-75153	ENGINEER OF GENERATION	4
9479	82-75103	ENGINEER OF TRANSMISSION AND COMMUNICATION	3,4
9451	82-75033	ENGINEER OF SYSTEMS OPERATIONS (ENGINEER IN CHARGE OF SUBSTATION AND MAINTENANCE OPERATIONS)	7
9450	94-75006	FUEL SUPPLY ADMINISTRATOR	4
9450	60-75117	ENGINEER OF GOVERNMENTAL COORDINATION	4

Agency Report of:
New Positions

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California Form **804**

1. Agency Name (Also include, Division, Department, or Region (if applicable))
Los Angeles Department of Water and Power - Power System

Amendment

Agency Contact (Last Name, First Name, Title)
Sherry Morimoto, Department Ethics Liaison

Date of Original Filing: 01.28.16
(month, day, year)

Area Code/Phone Number [REDACTED] **E-mail** [REDACTED]

2. New Position Information

Position Title/Classification and Job Summary	Assigned Category	OR Disclosure Requirement	Assuming/Start Date (Optional)
Executive Director of Power System/Power Engineering Manager	1	Provide Power System executive oversight and report directly to the General Manager.	Start <u>07</u> / <u>06</u> / <u>15</u> d / m / yr
Executive Director of Power System/Electrical Service Manager	1	Provide Power System executive oversight and report directly to the General Manager.	Start <u>07</u> / <u>06</u> / <u>15</u> d / m / yr
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3. Verification

I have read and understand FPPC Regulations 18701 and 18734. I have verified that the disclosure assignment(s) set forth above, is in

[REDACTED] Marcie L. Edwards General Manager 1-28-16
Print Name Title (month, day, year)

Comment: (Use this space or an attachment for any additional information.)

gm 1.25.16

SCHEDULE "B"
DISCLOSURE CATEGORIES

DEPARTMENT OF WATER AND POWER
CONFLICT OF INTEREST CODE

GENERAL PROVISION

Income is disclosable pursuant to Section 302.B (2) or (3) when the person from whom the income is received is of the type listed in the category to which the designated employee's position is assigned.

As used herein except as otherwise provided, the terms "investment", "income", "interest in real property", and "business entity" are defined as provided in this Conflict of Interest Code.

CATEGORY 1

- A. Any investment, as defined by this Code.
- B. Any interest in real property located within the City of Los Angeles, or not more than two miles outside the boundaries of the City, or not more than two miles from any real property owned or used by the Department of Water and Power.
- C. Income from any individual who is or during the past twelve months was employed by the Department.
- D. Income from any person or business entity which:
 - 1. Is in the banking or investment underwriting business;
 - 2. Manages retirement or disability plans;
 - 3. Conducts bond or investment or management counseling;

4. Conducts accounting and financial auditing services;
5. The Water and Power Retirement Plan may legally invest its funds. (This item applies only to those Commissioners who also serve on the Board of Administration);
6. Sells, leases or rents interests in real property to the Department of Water and Power, or purchases interests in real property from the Department of Water and Power, or appraises real property, or examines or insures real property titles;
7. Supplies materials or equipment to the Department of Water and Power; enters into contracts for design, construction or manufacture for the Department of Water and Power, or any direct competitor of such person or business entity;
8. Provides professional, scientific, expert or technical consulting services or maintenance services to the Department of Water and Power, or any direct competitor of such person or business entity;
9. Provides or manages any health insurance plan;
10. Provides retail collection services for the Department of Water and Power;

11. Filed a claim for money, damages or other relief against the Department of Water and Power which was pending during the past twelve months;
12. During the past twelve months was a party to any litigation involving the Department of Water and Power;
13. Is an insurance company, with the exception of any company which issues title insurance only;
14. Purchases surplus electrical energy from the Department of Water and Power, or which produces for sale or sells electrical energy.

E. In any case in which the Board of Water and Power Commissioners considers any matter which may foreseeably have any effect on a financial interest of a member of the Commission, such member shall promptly announce the nature of his or her interest, and said announcement shall be recorded in the minutes of the meeting. In addition, such member shall file with the Commission Secretary a written notice of the nature of his or her interest, which notice shall become a public record. This requirement shall be in addition to any other requirement of this Code.

CATEGORY 2

A. Any investment in or income from any person or business entity which is in the banking or investment underwriting business; manages retirement and disability plans; conducts bond or investment or management counseling; or conducts accounting and financial auditing services.

B. Any interest in real property, or investment in or income from any business entity, in which the Water and Power Retirement Plan is permitted to legally invest its funds. (Item B applies only to those designated employees who serve on the Board of Administration).

CATEGORY 3

A. Any investment in or income from any person or business entity which sells, leases or rents interests in real property to the Department of Water and Power, or purchases interests in real property from the Department of Water and Power, or appraises real property, or examines or insures real property titles.

B. Any interest in real property located within the City of Los Angeles or not more than two miles from any real property owned or used by the Department of Water and Power.

CATEGORY 4

A. Any investment in or income from any person or business entity which supplies materials or equipment of the type used by the particular unit of the Department of Water and Power to which a particular designated employee is assigned; enters into contracts for design, construction or manufacture for the Department of Water and Power; or provides professional, scientific, expert or technical consulting services or maintenance services to the Department of Water and Power, or any direct competitor of such person or business entity described above; or

provides or manages any health insurance plan. (A list of such items is available at the Office of the Conflict of Interest Compliance Officer).

B. Any investment in or income from any person or business entity which provides retail collection services.

CATEGORY 5

A. Any investment in or income from any person or business entity which filed a claim for money, damages or other relief against the Department of Water and Power which was pending during the past twelve months and pertains to a matter involving the System in which designated employee is employed.

B. Any investment in or income from any person or business entity which during the past twelve months was a party to any litigation involving the Department of Water and Power and pertaining to a matter involving the System in which designated employee is employed.

C. Any investment in or income from an insurance company, with the exception of any company which issues title insurance only.

CATEGORY 6

Any investment in or income from any business entity or non-profit organization which purchases electrical energy or water or associated services from the Department

of Water and Power in an annual amount in excess of 3 gigawatt-hours of electrical energy or an annual amount in excess of 25,000,000 cu. ft. of water or which produces for sale or sells electrical energy.

CATEGORY 7

Any investment in or income from any person or business entity which produces for sale or sells electrical energy.

CATEGORY 8

Any income from an individual who is or during the past twelve months was employed by the Department of Water and Power.